Basics of Model Checking

Modal Logic

Kripke Structure

•
$$K = \langle S, R, L \rangle$$

S: set of states (may be infinite)

R: transition relation between states

$$R \subseteq S \times S$$

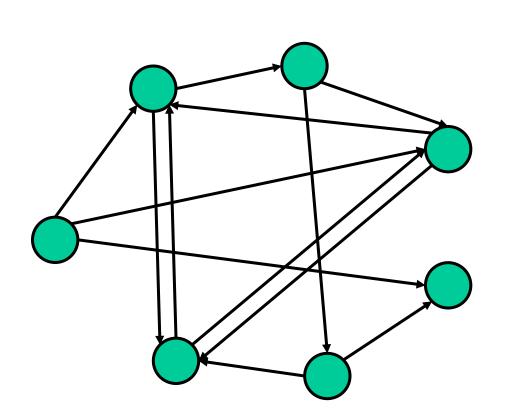
propositional symbols

L: map from states to sets of prop. symbols L(s) denotes the set of prop. symbols that hold at state $s \in S$

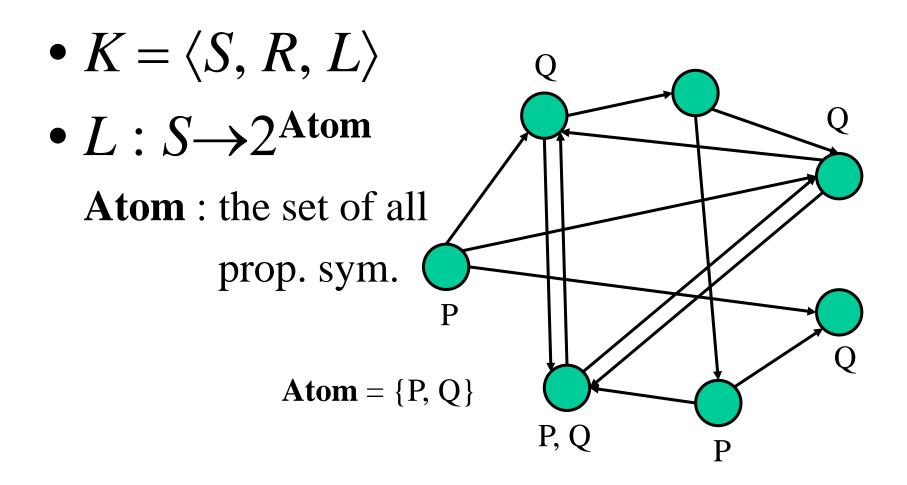
Kripke Structure

•
$$K = \langle S, R, L \rangle$$

•
$$G = \langle S, R \rangle$$
 directed graph

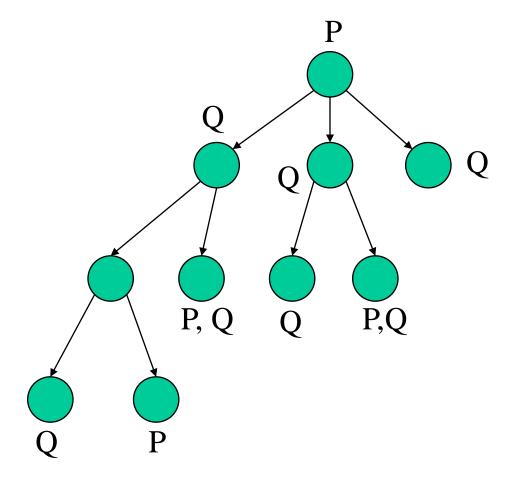


Kripke Structure



Various Kripke Structures

- Tree
- Forest
- Infinite tree



Modal Formula

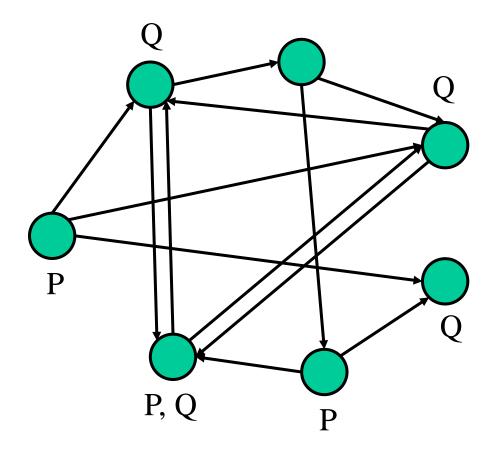
Semantics

$$s \models P$$
 iff $P \in L(s)$
 $s \models \neg \varphi$ iff not $s \models \varphi$
 $s \models \varphi \land \psi$ iff $s \models \varphi$ and $s \models \psi$
 $s \models \varphi \lor \psi$ iff $s \models \varphi$ or $s \models \psi$
 $s \models \Box \varphi$ iff $t \models \varphi$ for any t s.t. $R(s, t)$
 $s \models \diamondsuit \varphi$ iff $t \models \varphi$ for some t s.t. $R(s, t)$

 $\Diamond \varphi$ is equivalent to $\neg \Box \neg \varphi$

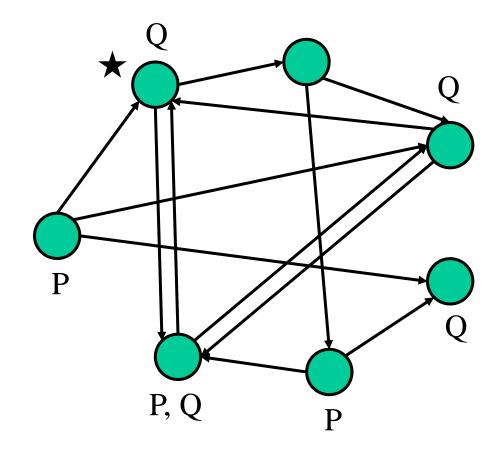
Semantics

 $\Box Q$ $\diamondsuit(P \land Q)$ $\Box \diamondsuit P$



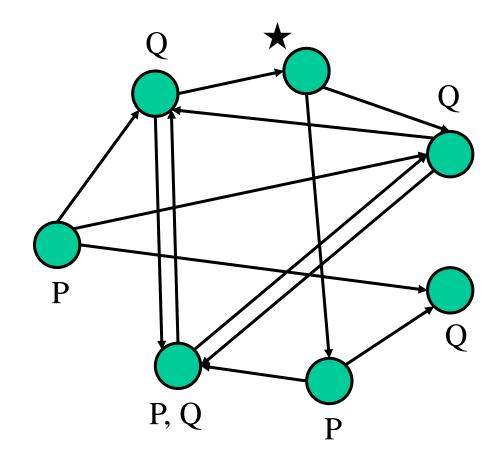
Which holds at \bigstar ?

- 1. □Q
- 2. **♦**(P∧Q)
- 3. □**◇**P



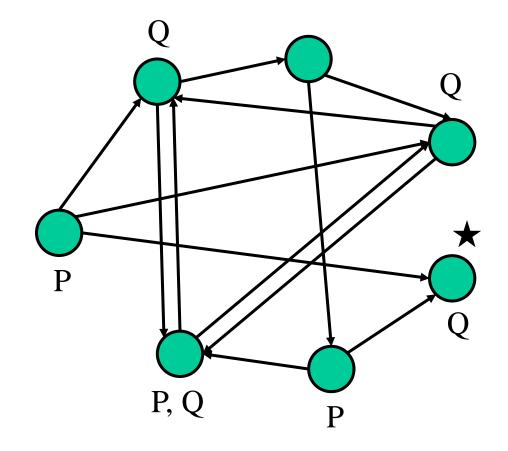
Which holds at \bigstar ?

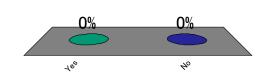
- 1. □Q
- 2. **♦**(P∧Q)
- 3. □**◇**P



Does $\square Q$ hold at \bigstar ?

- 1. Yes
- 2. No





Various Modal Logics

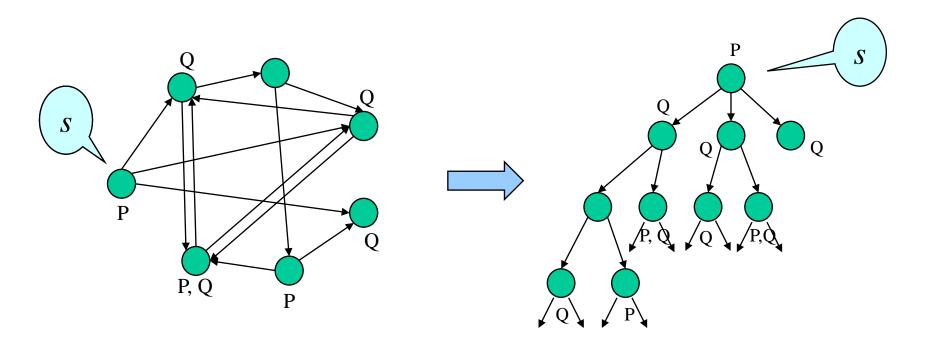
- So far is the minimal modal logic **K**
- Restricting the transition relation
 - Reflective T / Transitive K4
- Allowing recursive propositions
 - Computation Tree Logic / Modal μ-calculus
- Multiple modalities / Operators on modalities
 - Boolean logic / Dynamic logic
- Interpreting formulas on a path over a graph
 - Linear-time temporal logic
- Restricting graphs to trees

Satisfiability & Finite-model Property

- Modal formula φ is satisfiable
 - if and only if there exists a Kripke structure K and its state s such that $s \models \varphi$ under K
 - -K is said to be a model of φ
- In the minimal logic, any satisfiable formula has a finite model
- Moreover, it has a finite tree model

Tree-model Property of Modal Logic

- In general, expanding a Kripike structure (graph) at some node *s* yields an (infinite) tree
- If a formula is satisfiable at *s*, then it is also satisfiable at the room of the tree



CTL

Computation Tree Logic

• A kind of branching-time temporal logic

About Paths

- CTL usually treats infinite execution paths
- Dead-end states complicate the situation
- So, each state is assumed to have at least one successor
- For example, a self-loop is added to a final state

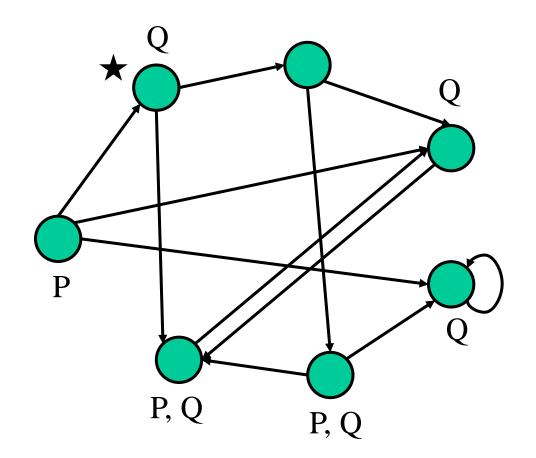


Semantics

 $s \models \mathbf{AG} \varphi$ iff any state t that is reachable from s satisfies $t \models \varphi$ $s \models \mathbf{AF} \varphi$ iff on any path starting from s, there exists a state t such that $t \models \varphi$

Which holds at \bigstar ?

- 1. **AG** P
- **2. AG** ¬P
- 3. AF Q
- 4. $AF \neg Q$



Semantics

 $s \models \mathbf{EF} \varphi$ iff

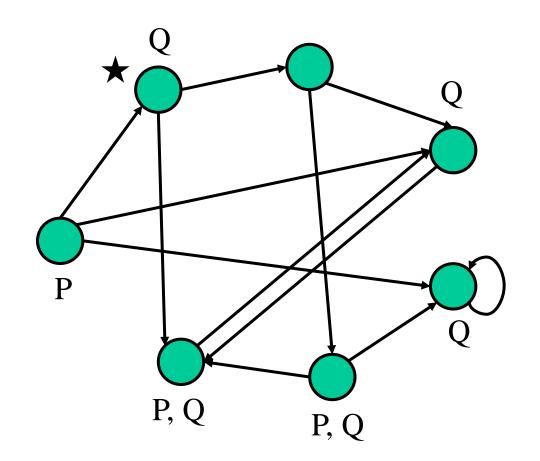
there exists a state t that is reachable from s such that $t \models \varphi$

 $s = \mathbf{E}\mathbf{G}\varphi$ iff

there exists a path starting from s such that any state t on the path satisfies $t \models \varphi$

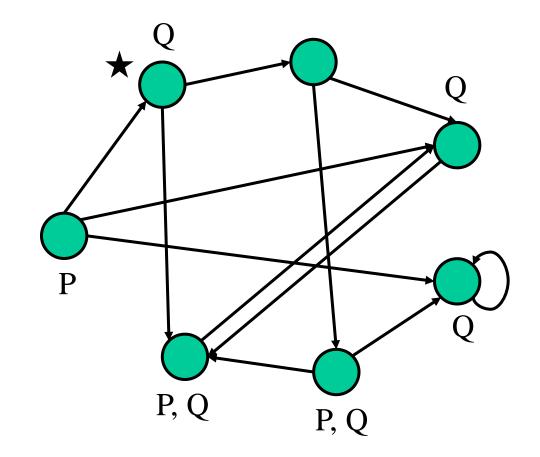
Which holds at \bigstar ?

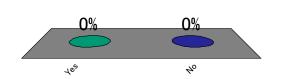
- 1. EF $(P \land \neg Q)$
- 2. **EF AG** P
- 3. **EG** Q
- 4. $EG \neg Q$



Does **AF AG** Q hold at \bigstar ?

- 1. Yes
- 2. No





Computation of State Sets

Global model checking

```
• Let [[\phi]] = \{ s \in S \mid s \models \phi \}
       -\lceil P \rceil \rceil = \{ s \in S \mid P \in L(s) \}
       -\left[\left[\neg\varphi\right]\right] = S - \left[\left[\varphi\right]\right]
       -\left[\left[\phi \land \psi\right]\right] = \left[\left[\phi\right]\right] \cap \left[\left[\psi\right]\right]
       -\left[\left[\phi\lor\psi\right]\right]=\left[\left[\phi\right]\right]\cup\left[\left[\psi\right]\right]
       - [[\Box \varphi]] = \{ s \in S \mid \text{for any } t \in S,
                                                              if R(s,t) then t \in [[\varphi]]
       -[[\diamondsuit \varphi]] = \{ s \in S \mid \text{there exists } t \in S \text{ such that } \}
                                                              R(s,t) and t \in [[\phi]]
```

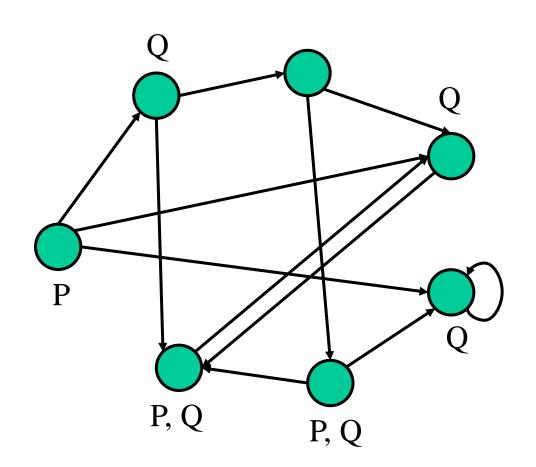
Computation of State Sets

• Computation of [[**EF**φ]]

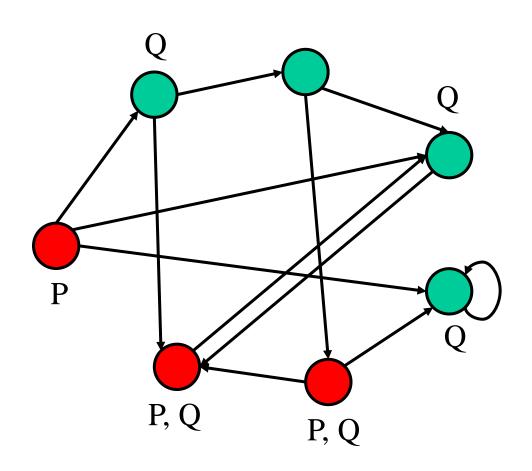
```
X := \emptyset
loop
    Y := \lceil \lceil \varphi \rceil \rceil \cup
            \{ s \in S \mid \text{there exists } t \in S \text{ s.t. } R(s,t) \text{ and } t \in X \}
    if Y==X then break
    X := Y
end
```

• Computing the minimal X such that X = f(X), where f(X) denotes the R.H.S. of Y :=

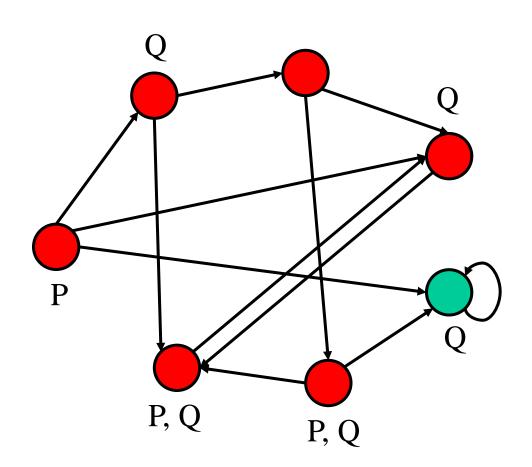
EF P



EF P



EF P



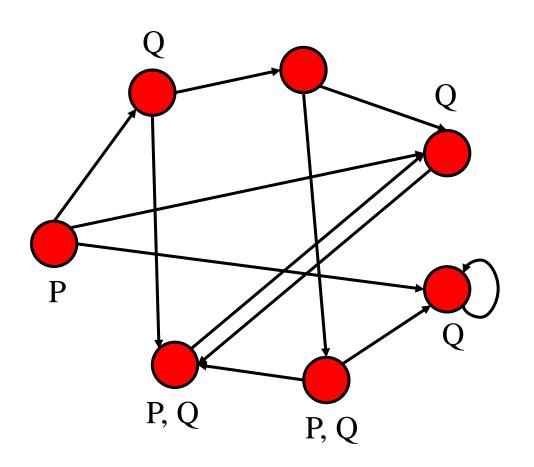
Computation of State Sets

• Computation of $[[EG\phi]]$

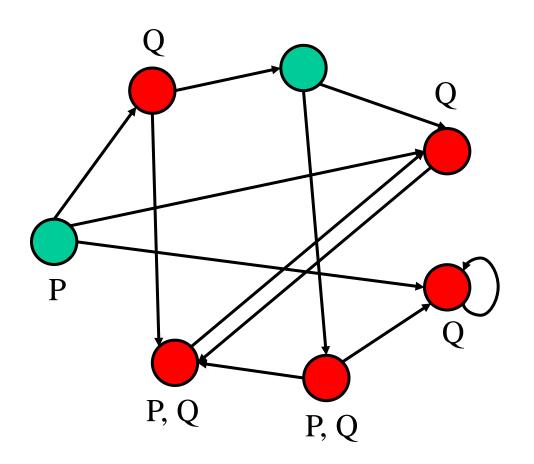
```
X := S
loop
    Y := [[\varphi]] \cap
          \{ s \in S \mid \text{there exists } t \in S \text{ s.t. } R(s,t) \text{ and } t \in X \}
   if Y==X then break
   X := Y
end
```

• Computing the maximal X such that X = f(X), where f(X) denotes the R.H.S. of Y :=

EG Q



EG Q



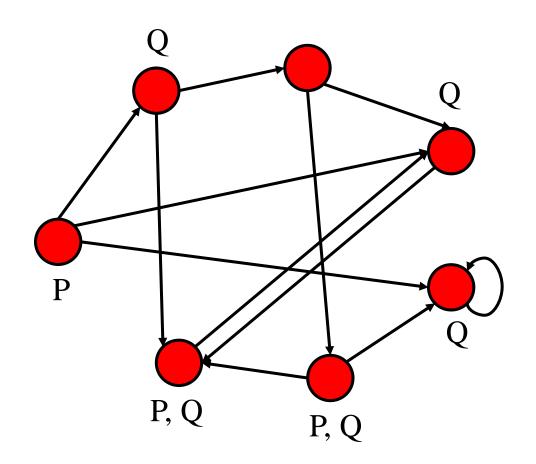
Computation of State Sets

• Computation of $[[AG\phi]]$

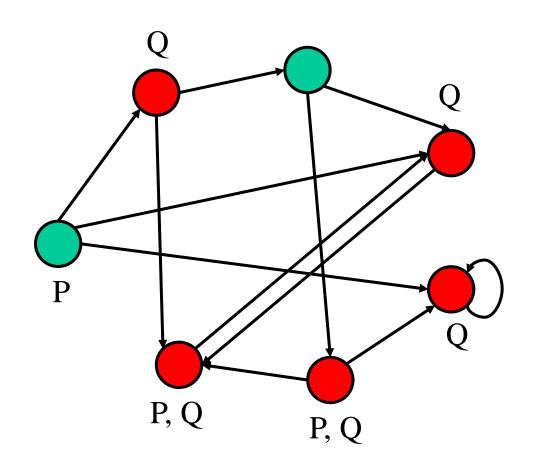
```
X := S
loop
    Y := [[\varphi]] \cap
          \{ s \in S \mid \text{for any } t \in S, \text{ if } R(s,t) \text{ then } t \in X \}
   if Y==X then break
   X := Y
end
```

• Computing the maximal X such that X = f(X), where f(X) denotes the R.H.S. of Y :=

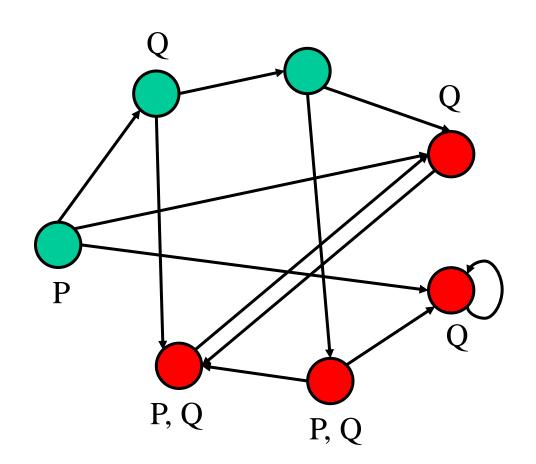
AG Q



AG Q



AG Q

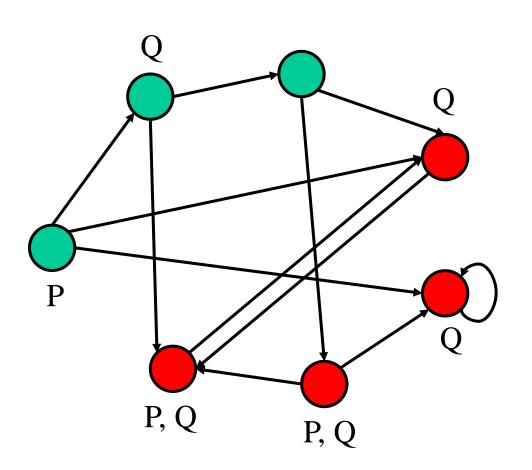


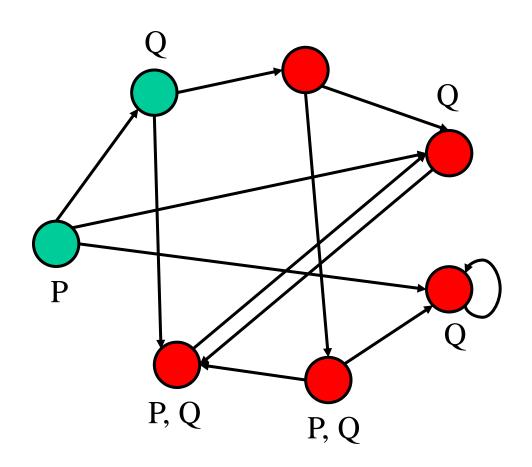
Computation of State Sets

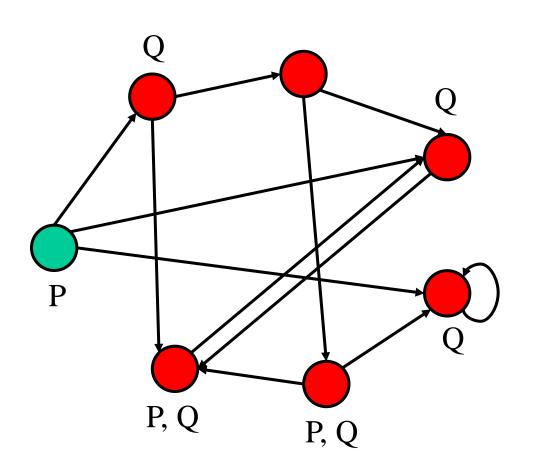
• Computation of $[[\mathbf{AF}\phi]]$

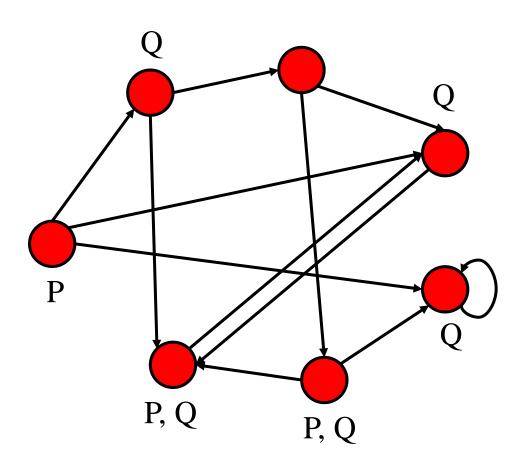
```
X := \emptyset
loop
    Y := \lceil \lceil \varphi \rceil \rceil \cup
             \{ s \in S \mid \text{for any } t \in S, \text{ if } R(s,t) \text{ then } t \in X \}
    if Y==X then break
    X := Y
end
```

• Computing the minimal X such that X = f(X), where f(X) denotes the R.H.S. of Y :=









Summary

- $[[\mathbf{E}\mathbf{F}\varphi]] = [[\varphi \lor \diamondsuit \mathbf{E}\mathbf{F}\varphi]]$
 - minimal
- $[[\mathbf{E}\mathbf{G}\varphi]] = [[\varphi \land \diamondsuit \mathbf{E}\mathbf{G}\varphi]]$
 - maximal
- $[[\mathbf{A}\mathbf{G}\varphi]] = [[\varphi \wedge \Box \mathbf{A}\mathbf{G}\varphi]]$
 - maximal
- $[[\mathbf{A}\mathbf{F}\varphi]] = [[\varphi \vee \Box \mathbf{A}\mathbf{F}\varphi]]$
 - minimal

Summary

- $[[\mathbf{EF}\phi]] = [[\phi \lor \diamondsuit \mathbf{EF}\phi]]$
 - minimal
- $[[\mathbf{E}\mathbf{G}\varphi]] = [[\varphi \land \diamondsuit \mathbf{E}\mathbf{G}\varphi]]$
 - maximal
- $[[\mathbf{A}\mathbf{G}\varphi]] = [[\varphi \wedge \Box \mathbf{A}\mathbf{G}\varphi]]$
 - maximal
- $[[\mathbf{AF}\varphi]] = [[\varphi \lor \Box \mathbf{AF}\varphi]]$
 - minimal

Summary

- $[[\mathbf{E}\mathbf{F}\varphi]] = [[\varphi \lor \diamondsuit \mathbf{E}\mathbf{F}\varphi]]$
 - minimal
- $[[\mathbf{E}\mathbf{G}\varphi]] = [[\varphi \land \diamondsuit \mathbf{E}\mathbf{G}\varphi]]$
 - maximal
- $[[\mathbf{A}\mathbf{G}\varphi]] = [[\varphi \wedge \Box \mathbf{A}\mathbf{G}\varphi]]$
 - maximal
- $[[\mathbf{A}\mathbf{F}\varphi]] = [[\varphi \lor \Box \mathbf{A}\mathbf{F}\varphi]]$
 - minimal

Modal µ-calculus

• Inductive definitions on propositional var.

$$X = \varphi \lor \diamondsuit X$$

- Minimal fixed point or maximal fixed point?
- In the case of a minimal fixed point μX . $\phi \lor \diamondsuit X$ --- coincides with **EF** ϕ
- In the case of a maximal fixed point true everywhere
- Example of a maximal fixed point vX. $\phi \land \Box X$ --- coincides with $\mathbf{AG}\phi$

Which denotes **AF**φ?

1.
$$\mu X$$
. $\phi \wedge \diamondsuit X$

2.
$$\mu X. \phi \vee \diamondsuit X$$

3.
$$vX. \varphi \wedge \diamondsuit X$$

4.
$$vX. \varphi \lor \diamondsuit X$$

5.
$$\mu X$$
. $\varphi \wedge \Box X$

6.
$$\mu X$$
. $\phi \vee \Box X$

7.
$$vX$$
. $\phi \wedge \Box X$

8.
$$vX. \varphi \vee \Box X$$

Symbolic Model Checking and BDD

Representation of States

States are often represented by bit vectors

Example: Peterson's Algorithm

```
0: flags[me] = true;
1: turn = you;
2: if (flags[you] != true) goto 4;
3: if (turn != you) goto 4; else goto 2;
4: critical section;
5: flags[me] = false;
                                                 Each pc can be
                                                 represented by
6: either goto 6 or goto 0;
                                                    three bits
   state:(pc0, pc1, flags[0], flags[1], turn)
        pc0, pc1: 0..6
        flags[0], flags[1]: {true, false}.
        turn: {0, 1}
                                          These are already bits
```

Representation of States

- States are often represented by bit vectors
- So, the set S of states is (a subset of) $\{0,1\}^n$
- Each state is regarded as an assignment mapping n boolean variables $x_1, ..., x_n$ to 0 or 1
- In the case of Peterson's algorithm
 - pc00, pc01, pc02 --- pc0
 - pc10, pc11, pc12 --- pc1
 - flags0, flags1 --- flags[0], flags[1]
 - turn

Representation of State Sets

- A set of states can be represented by a boolean formula over $x_1, ..., x_n$
- For example, $x_1 \land \neg x_2$ represents the set of states of the form $\langle 1, 0, ... \rangle \in \{0,1\}^n$
- Remember that $[[\phi]]$ denotes a set of states defined as $\{ s \in S \mid s \models \phi \}$
- So, [[φ]] is represented by a boolean formula over $x_1, ..., x_n$
- For example
 pc0=4 --- pc00∧¬pc01∧¬pc02

Representation of Transitions

- The transition relation R is represented by a boolean formula over $x_1, ..., x_n$ and $x'_1, ..., x'_n$
 - $-x_1, ..., x_n$ --- state before transition
 - $-x'_1, ..., x'_n$ --- state after transition
- The formula is denoted as

$$R(x_1, ..., x_n, x'_1, ..., x'_n)$$

```
R(pc00,pc01,pc02, pc10,pc11,pc12,flags0,flags1,turn,
   pc00',pc01',pc02', pc10',pc11',pc12',
   flags0',flags1',turn') := ......
  \neg pc00 \land pc01 \land \neg pc02 \land \neg flags1 \land
  pc00' \land \neg pc01' \land \neg pc02' \land
  (pc10=pc10')\land (pc11=pc11')\land (pc12=pc12')\land
  (flags0=flags0')\land (flags1=flags1')\land (turn=turn')
                                   0: flags[me] = true;
V .....
                                   1: turn = you;
                                   2: if (flags[you] != true) goto 4;
                                   3: if (turn != you) goto 4; else goto 2;
                                   4: critical section;
                                   5: flags[me] = false;
                                   6: either goto 6 or goto 0;
```

Which is true?

- 1. R(0,1,0,0,1,0,1,1,1,0,1,0,1,0,0,1,1,1)
- 2. R(0,1,0,0,1,0,1,1,1,0,1,1,0,1,0,1,1,1)
- 3. R(0,1,0,0,1,0,1,1,1,1,0,0,0,1,0,1,1,1)

Semantics of Propositional Symbols

- $L: S \rightarrow 2^{Atom}$
- It suffices to define

$$[[P]] = \{ s \mid P \in L(s) \}$$

for each $P \in \mathbf{Atom}$

• Since $\{s \mid P \in L(s)\}$ is a state set, it can be defined by a formula $P(x_1, ..., x_n)$ over $x_1, ..., x_n$

Summing Up...

- $K = \langle S, R, L \rangle$ (Krpike structure)
 - $-S = \{0,1\}^n$
 - -R is represented by a boolean formula

$$R(x_1, ..., x_n, x'_1, ..., x'_n)$$

 $-L: S \rightarrow 2^{Atom}$ is defined in terms of

$$P(x_1, \ldots, x_n)$$

that represents

$$[[P]] = \{ s \mid P \in L(s) \}$$
 for each P

Computation of State Sets

Computation of [[EFφ]]

```
X := \emptyset
                                              Now a boolean formula
loop
                                                     over x_1, ..., x_n
    Y := \lceil \lceil \varphi \rceil \rceil \cup
            \{ s \in S \mid \text{there exists } t \in S \text{ s.t. } R(s,t) \text{ and } t \in X \}
    if Y==X then break
    X := Y
end
```

• Computing the minimal X such that X = f(X), where f(X) denotes the R.H.S. of Y :=

Computation of State Sets

• Computation of $[[\mathbf{EF}\varphi]](x_1, ..., x_n)$

$$X(x_1, ..., x_n) :=$$
 false Begins with false loop

 $Y(x_1, ..., x_n) := [[\varphi]](x_1, ..., x_n) \lor$ Disjunction { $s \in S$ | there exists $t \in S$ s.t. R(s,t) and $t \in X$ }

if Y==X then break

$$X := Y$$

end

Equality between boolean formulas

Quantified Boolean Formulas

Quantifiers are allowed in boolean formulas

$$\exists x f := f|_{x \leftarrow 0} \lor f|_{x \leftarrow 1}$$
$$\forall x f := f|_{x \leftarrow 0} \land f|_{x \leftarrow 1}$$

• { $s \in S$ | there exists $t \in S$ s.t. R(s,t) and $t \in X$ } is represented by

$$\exists x'_{1}...\exists x'_{n} (R(x_{1}, ..., x_{n}, x'_{1}, ..., x'_{n}) \land X(x'_{1}, ..., x'_{n}))$$

$\exists x((\neg x \land y) \lor (x \land \neg z))$ is equivalent to?

- 1. $\neg x \land y$
- 2. $x \wedge \neg z$
- $3. \quad y \land \neg z$
- *4. y*∨¬*z*
- 5. *x*
- $6. \neg x$
- 7. y
- $8. \neg z$

Computation of State Sets

• Computation of $[[\mathbf{EF}\varphi]](x_1, ..., x_n)$

$$X(x_1, ..., x_n) :=$$
false

loop

$$Y(x_1, ..., x_n) := [[\varphi]](x_1, ..., x_n) \vee$$

$$\exists x'_1 ... \exists x'_n (R(x_1, ..., x_n, x'_1, ..., x'_n) \wedge$$

$$X(x'_1, ..., x'_n))$$

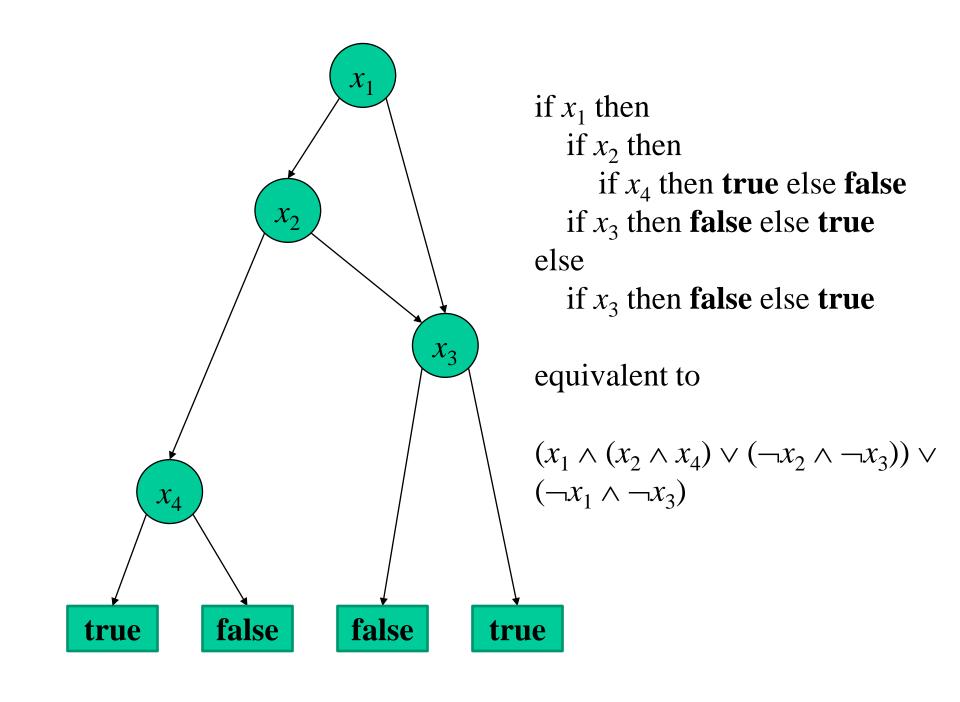
if Y==X then break

$$X := Y$$

end

OBDD

- Ordered Binary Decision Diagram
 - Acyclic graph whose nodes are boolean variables
 - Each branch from a node represents"if variable then ... else ..."
 - Variables are ordered
 - Sub-graphs can be shared
- Compact representation and efficient computation of boolean formulas



Advantages of OBDD

- Equivalent formulas are represented by a unique OBDD (with a fixed order of variables)
- Operations on boolean formulas, including quantification, can be implemented as manipulations of OBDD
 - Quite efficient in general, but
 - Efficiency of operations (in particular, quantification) greatly depends on the order of variables

SMV

- Symbolic Model Checker
- Originally developed for hardware verification
- Currently applied to various systems including software, protocols, etc.
- NuSMV is a reimplementation and extension of SMV
- http://nusmv.fbk.eu/

LTL

Linear-time Temporal Logic

- Formulas are interpreted with respect to a path on a Kripke structure
- The concept of a path partially appears in CTL

```
s \models \mathbf{EG}\varphi iff
there exists a path starting from s such that
any state t on the path satisfies t \models \varphi
```

• But in CTL formulas are interpreted at each state

Kripke Structure

•
$$K = \langle S, R, L \rangle$$

S: set of states (may be infinite)

R: transition relation between states

$$R \subseteq S \times S$$

L: map from states to sets of prop. symbols L(s) denotes the set of prop. symbols that hold at state $s \in S$

Infinite Sequence of States --Execution Path

suffix

$$\pi^{i} = \pi_{i}, \pi_{i+1}, \pi_{i+2}, \dots$$

Formula

Semantics

$$\pi \models P \quad \text{iff} \quad P \in L(\pi_0)$$

$$\pi \models \neg \varphi \quad \text{iff} \quad \text{not} \quad \pi \models \varphi$$

$$\pi \models \varphi \land \psi \quad \text{iff} \quad \pi \models \varphi \quad \text{and} \quad \pi \models \psi$$

$$\pi \models \varphi \lor \psi \quad \text{iff} \quad \pi \models \varphi \quad \text{or} \quad \pi \models \psi \quad \text{The semantics}$$

$$\sigma \models \varphi \lor \psi \quad \text{iff} \quad \pi^1 \models \varphi \quad \text{of} \quad \text{and} \Leftrightarrow \text{is}$$

$$\pi \models \varphi \quad \text{iff} \quad \pi^1 \models \varphi \quad \text{different from}$$

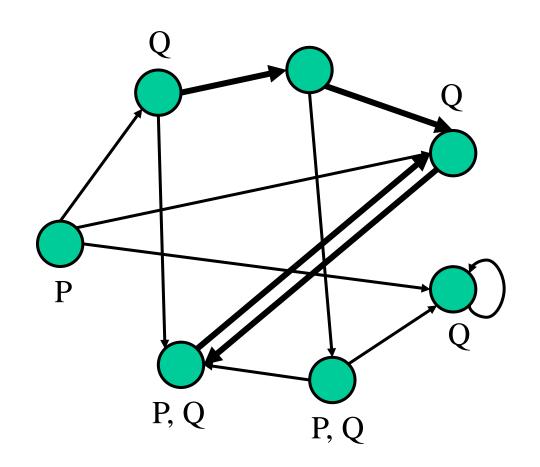
$$\pi \models \varphi \quad \text{iff} \quad \pi^i \models \varphi \quad \text{for any } i \geq 0$$

$$\pi \models \varphi \quad \text{iff} \quad \pi^i \models \varphi \quad \text{for some } i \geq 0$$

 $\pi \models \phi --- \pi \text{ is a model of } \phi$

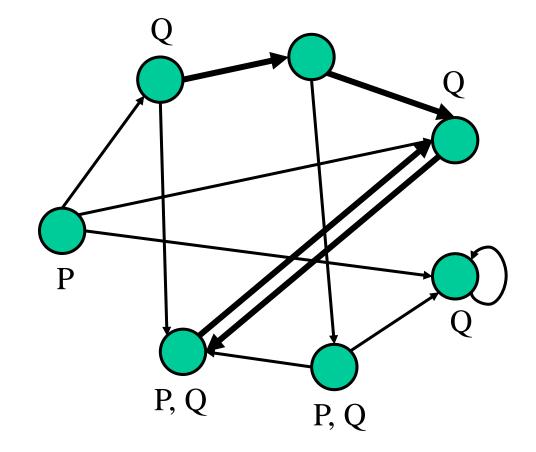
Which formula holds in this path?

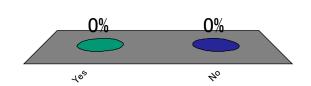
- 1. □P
- 2. □¬P
- 3. $\diamondsuit(P \land Q)$
- 4. ♦(P∧¬Q)



Does $\diamondsuit \square Q$ hold?

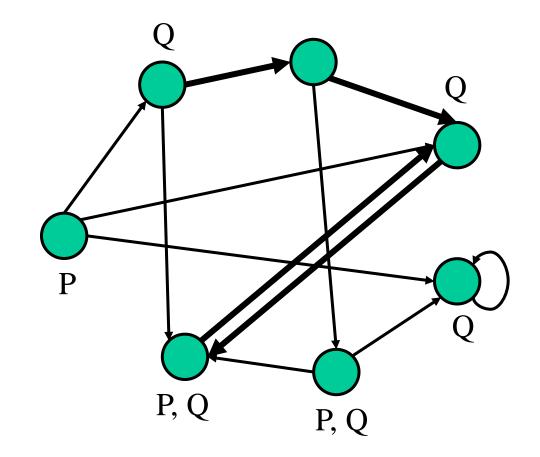
- 1. Yes
- 2. No

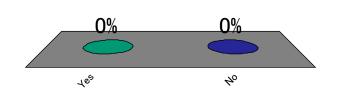




Does $\diamondsuit \square P$ hold?

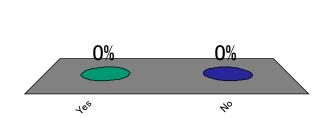
- 1. Yes
- 2. No

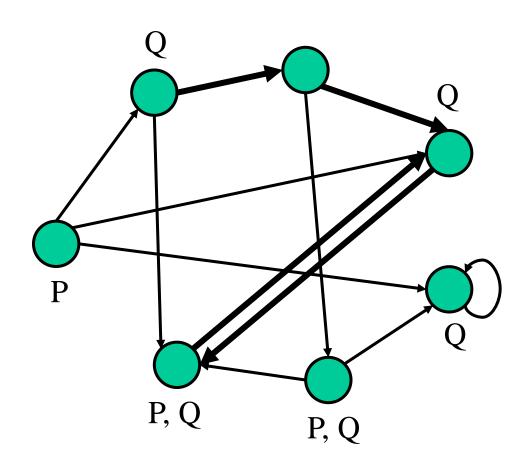




Does □�P hold?

- 1. Yes
- 2. No





$\Box \diamondsuit P$

- $\pi \models \Box \diamondsuit P$ implies $\pi \models \diamondsuit P$, so there exists i such that $\pi^i \models P$
- $\pi \models \Box \diamondsuit P$ implies $\pi^{i+1} \models \diamondsuit P$, so there exists j > i such that $\pi^j \models P$
- Consequently, there exist an infinite number of i such that $\pi^i = P$
- Conversely, if there exist an infinite number of i such that $\pi^i \models P$, then $\pi \models \Box \diamondsuit P$ holds

Expressing Fairness

- Let E denote that a certain process is executable, and let R denote that the process has been executed
- Unconditional fairness

$$\Box \Diamond R$$

Weak fairness

$$\Box \Diamond (\neg E \vee R)$$

$$\square \diamondsuit (E \supset R)$$

Strong fairness

$$\neg\Box\Diamond E \lor \Box\Diamond R$$

$$\square \diamondsuit E \supset \square \diamondsuit R$$

$$\square$$
 and \diamondsuit and \neg

$$\pi \models \neg \Box \phi \quad \text{iff} \quad \pi \models \diamondsuit \neg \phi$$

$$\pi \models \neg \diamondsuit \phi \quad \text{iff} \quad \pi \models \Box \neg \phi$$

$$\pi \models \neg \diamondsuit \phi \quad \text{iff} \quad \pi \models \Box \neg \phi$$

Model Checking in LTL

• Given a formula φ_0 , a Kripke structure K, and its initial state s, does there exist a path π starting from s such that $\pi \models \varphi_0$?



- If you want to verify that φ_0 holds w.r.t. any path π starting from s, then you should negate φ_0 and solve the model checking problem on $\neg \varphi_0$
- Not so easy as in CTL
 - It is necessary to characterize paths that satisfy the given formula ϕ
 - Use ω-automata

Working Example

- In the following, the formula $\Box (a \supset \diamondsuit b)$ is used as a working example
- It is a typical formula expressing liveness
 - If a file is opened, it is eventually closed

Formula (Negation Normal Form)

Which is the normal form equivalent to $\neg\Box(a\supset \diamondsuit b)$?

1.
$$\square(a \land \diamondsuit \neg b)$$

2.
$$\square(a \vee \diamondsuit \neg b)$$

3.
$$\diamondsuit(a \wedge \Box \neg b)$$

4.
$$\diamondsuit(a \vee \Box \neg b)$$

5.
$$\square(\neg a \land \diamondsuit b)$$

6.
$$\Box(\neg a \lor \diamondsuit b)$$

7.
$$\diamondsuit(\neg a \land \Box b)$$

8.
$$\diamondsuit(\neg a \lor \Box b)$$

 \square and \diamondsuit and \lozenge

$$\pi \models \Box \phi \quad \text{iff} \quad \pi \models \phi \land O \Box \phi$$

$$\pi \models \Diamond \phi \quad \text{iff} \quad \pi \models \phi \lor O \Diamond \phi$$

$cl(\varphi_0)$: the closure of φ_0

The minimum set of formulas satisfying

- $\varphi_0 \in cl(\varphi_0)$
- If $\varphi_1 \land \varphi_2 \in cl(\varphi_0)$, then $\varphi_1 \in cl(\varphi_0)$ and $\varphi_2 \in cl(\varphi_0)$
- If $\varphi_1 \lor \varphi_2 \in cl(\varphi_0)$, then $\varphi_1 \in cl(\varphi_0)$ and $\varphi_2 \in cl(\varphi_0)$
- If $\bigcirc \varphi \in cl(\varphi_0)$, then $\varphi \in cl(\varphi_0)$
- If $\Box \varphi \in cl(\varphi_0)$, then $\varphi \land \Diamond \Box \varphi \in cl(\varphi_0)$
- If $\Diamond \varphi \in cl(\varphi_0)$, then $\varphi \lor O \Diamond \varphi \in cl(\varphi_0)$
- The condition "If $\neg P \in cl(\varphi_0)$, then $P \in cl(\varphi_0)$ " is not required

•
$$\varphi_0 = \diamondsuit(a \wedge \Box \neg b)$$

•
$$cl(\varphi_0)$$
:

$$\Diamond (a \wedge \Box \neg b)$$

$$(a \land \Box \neg b) \lor \bigcirc \Diamond (a \land \Box \neg b)$$

$$a \wedge \Box \neg b$$

$$\bigcirc \Diamond (a \land \Box \neg b)$$

 \boldsymbol{a}

$$\Box \neg b$$

$$\neg b \land \bigcirc \Box \neg b$$

$$\neg b$$

$$\bigcirc \Box \neg b$$

φ₀-type

- $\Gamma \subseteq cl(\varphi_0)$
- If $\varphi_1 \land \varphi_2 \in \Gamma$, then $\varphi_1 \in \Gamma$ and $\varphi_2 \in \Gamma$
- If $\varphi_1 \lor \varphi_2 \in \Gamma$, then $\varphi_1 \in \Gamma$ or $\varphi_2 \in \Gamma$
- It is not the case that $P \in \Gamma$ and $\neg P \in \Gamma$
- If $\Box \varphi \in \Gamma$, then $\varphi \land \Diamond \Box \varphi \in \Gamma$
- If $\Diamond \varphi \in \Gamma$, then $\varphi \lor O \Diamond \varphi \in \Gamma$

Selection of ϕ_0 -types and Transitions between ϕ_0 -types

- Select all the minimal ϕ_0 -types that contain ϕ_0
- If a φ_0 -type $\Gamma \subseteq cl(\varphi_0)$ is selected, select all the minimal φ_0 -types Γ' that contain $\{\varphi \in cl(\varphi_0) \mid Q \varphi \in \Gamma\}$
- Define a transition $\Gamma \to \Gamma'$
- Repeat the above process

•
$$\Gamma_{\mathrm{I}}$$
: $\diamondsuit(a \wedge \Box \neg b)$

• Γ_{I} : $\diamondsuit (a \wedge \Box \neg b)$ $(a \wedge \Box \neg b) \vee \bigcirc \diamondsuit (a \wedge \Box \neg b)$

•
$$\Gamma_{\mathrm{I}}$$
:
 $\Diamond(a \wedge \Box \neg b)$
 $(a \wedge \Box \neg b) \vee \Diamond(a \wedge \Box \neg b)$
 $a \wedge \Box \neg b$

•
$$\Gamma_{\mathbf{I}}$$
:
 $\Diamond(a \wedge \Box \neg b)$
 $(a \wedge \Box \neg b) \vee \Diamond(a \wedge \Box \neg b)$
 $a \wedge \Box \neg b$
 a
 $\Box \neg b$

•
$$\Gamma_{\mathrm{I}}$$
:
 $\Diamond(a \wedge \Box \neg b)$
 $(a \wedge \Box \neg b) \vee \Diamond(a \wedge \Box \neg b)$
 $a \wedge \Box \neg b$
 a
 $\Box \neg b$
 $\neg b \wedge \Diamond \Box \neg b$

•
$$\Gamma_{1}$$
:
 $\Diamond(a \wedge \Box \neg b)$
 $(a \wedge \Box \neg b) \vee \Diamond \Diamond(a \wedge \Box \neg b)$
 $a \wedge \Box \neg b$
 a

$$\Box \neg b$$

$$\neg b \wedge \Diamond \Box \neg b$$

$$\neg b$$

$$\Box b$$

• Γ_{II}:

 $\Diamond (a \wedge \Box \neg b)$

Which is in a successor of Γ_1 ?

 $\Diamond (a \wedge \Box \neg b)$ $(a \land \Box \neg b) \lor \bigcirc \Diamond (a \land \Box \neg b)$ $a \wedge \Box \neg b$ $\Box \neg b$ $\neg b \land \bigcirc \Box \neg b$ $\neg b$

- 1. *a*
- $2. \quad \Box \neg b$
- 3. $\bigcirc \Box \neg b$
- 4. $a \wedge \Box \neg b$
- 5. $\Diamond (a \wedge \Box \neg b)$

$$\begin{array}{c} \bullet \quad \Gamma_{\mathrm{I}} : \\ & \Diamond (a \wedge \square \neg b) \\ & (a \wedge \square \neg b) \\ & a \wedge \square \neg b \\ & a \\ & \square \neg b \\ & \neg b \wedge \Diamond \square \neg b \\ & \neg b \\ & \neg b \\ & \neg b \\ & \bigcirc \square \neg b \\ & \Box \neg b \\ & \Box$$

 $\Diamond (a \wedge \Box \neg b)$

$$\begin{array}{c} \bullet \quad \Gamma_{\mathrm{I}} : \\ & \Diamond (a \wedge \square \neg b) \\ & (a \wedge \square \neg b) \\ & a \wedge \square \neg b \\ & a \\ & \square \neg b \\ & \neg b \wedge \Diamond \square \neg b \\ & \neg b \\ & \bigcirc \square \neg b \\ & \neg b \\ & \bigcirc \square \neg b \\ & \neg b \\ & \bigcirc \square \neg b \\ & \neg b \wedge \Diamond \square \neg b \\ & (a \wedge \square \neg b) \vee \Diamond \Diamond (a \wedge \square \neg b) \\ & \neg b \wedge \Diamond \square \neg b \\ & \neg b \wedge \Diamond \square \neg b \\ & \neg b \wedge \Diamond \square \neg b \end{array}$$

 $\Diamond (a \wedge \Box \neg b)$

 $\bigcirc \Diamond (a \land \Box \neg b)$

What are successors of I?

```
\Diamond (a \wedge \Box \neg b)
1. none
                                                                                    (a \land \Box \neg b) \lor \bigcirc \Diamond (a \land \Box \neg b)
                                                                                    a \wedge \Box \neg b
                                                                                    \Box \neg b
                                                                                    \neg b \land \bigcirc \Box \neg b
3. I and II
                                                                                    \neg b
                                                                                    O\Box \neg b
4. I and III
                                                                                                                \mathrm{III}:
5. I and II and III \diamondsuit(a \land \Box \neg b)
                                                                                                                           \Box \neg b
                                                                 (a \land \Box \neg b) \lor \bigcirc \Diamond (a \land \Box \neg b)
                                                                                                                           \neg b \land \mathsf{O} \square \neg b
                                                                 \bigcirc \Diamond (a \wedge \Box \neg b)
                                                                                                                           \neg b
6. II
                                                                                                                           O\Box \neg b
7. II and III
```

8. III



What are successors of II?

```
\Diamond (a \wedge \Box \neg b)
1. none
                                                                                    (a \land \Box \neg b) \lor \bigcirc \Diamond (a \land \Box \neg b)
                                                                                    a \wedge \Box \neg b
                                                                                    \Box \neg b
                                                                                    \neg b \land \bigcirc \Box \neg b
3. I and II
                                                                                    \neg b
                                                                                    O\Box \neg b
4. I and III
                                                                                                                \mathrm{III}:
5. I and II and III \diamondsuit(a \land \Box \neg b)
                                                                                                                           \Box \neg b
                                                                 (a \land \Box \neg b) \lor \bigcirc \Diamond (a \land \Box \neg b)
                                                                                                                           \neg b \land \mathsf{O} \square \neg b
                                                                 \bigcirc \Diamond (a \wedge \Box \neg b)
                                                                                                                           \neg b
6. II
                                                                                                                           O\Box \neg b
7. II and III
```

8. III



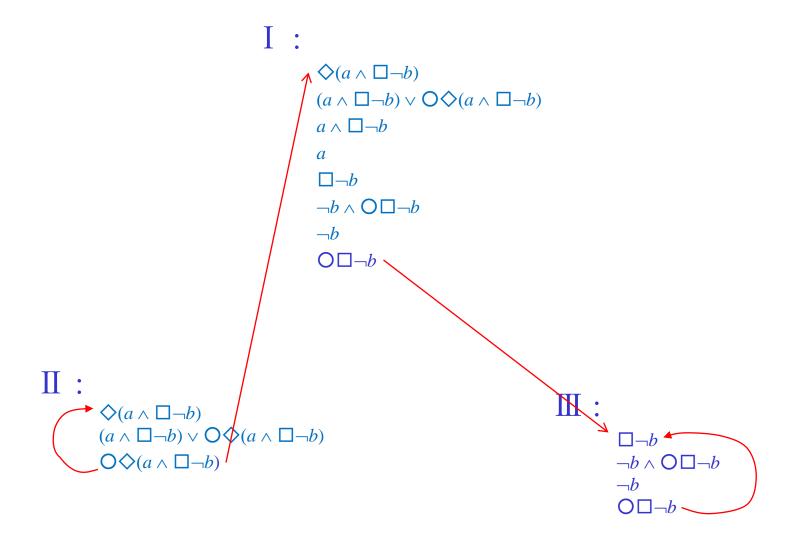
What are successors of III?

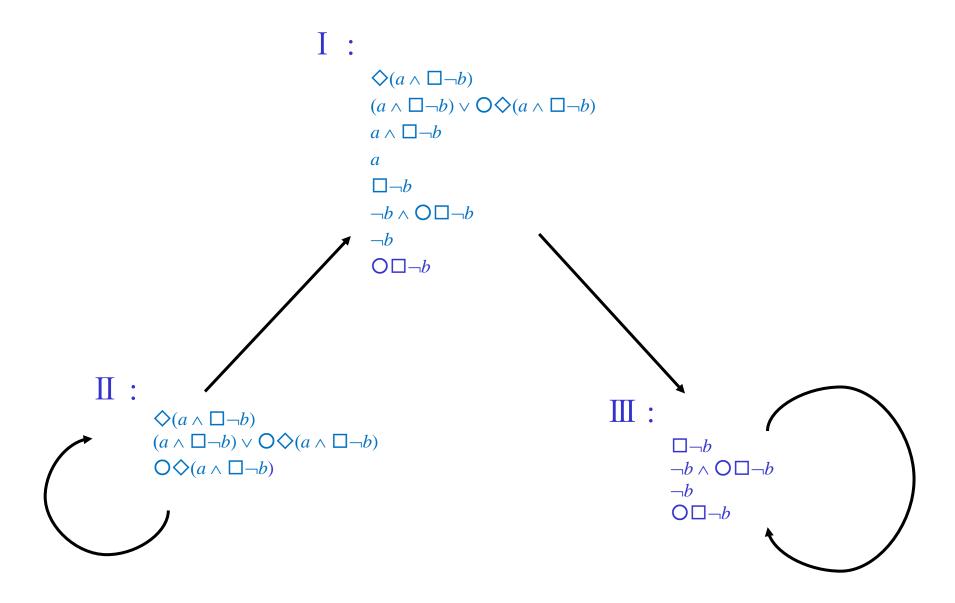
```
\Diamond (a \wedge \Box \neg b)
1. none
                                                                                  (a \land \Box \neg b) \lor \bigcirc \Diamond (a \land \Box \neg b)
                                                                                  a \wedge \Box \neg b
2. I
                                                                                  \Box \neg b
                                                                                  \neg b \land \bigcirc \Box \neg b
3. I and II
                                                                                  \neg b
                                                                                  O\Box \neg b
4. I and III
                                                                                                             \mathrm{III}:
5. I and II and III \diamondsuit(a \land \Box \neg b)
                                                                                                                        \Box \neg b
                                                               (a \land \Box \neg b) \lor \bigcirc \Diamond (a \land \Box \neg b)
                                                                                                                        \neg b \land \mathsf{O} \square \neg b
                                                                \bigcirc \Diamond (a \wedge \Box \neg b)
                                                                                                                        \neg b
6. II
                                                                                                                        O\Box \neg b
7. II and III
```

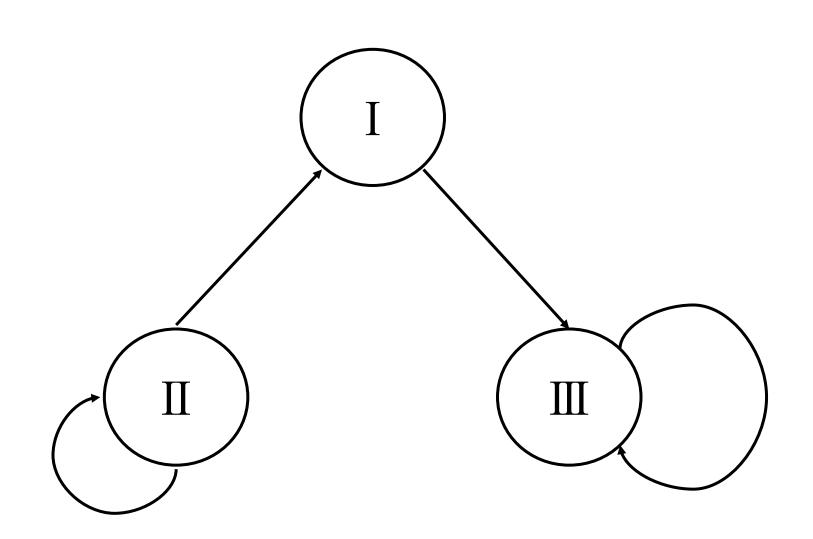
8. III



 $\bigcirc \Box \neg b$







Making a "Symbolic" Model

• If $\pi = \pi_0, \pi_1, \pi_2, \dots$ is a model of ϕ_0 , then there exists an infinite sequence

$$\Pi = \Gamma_0, \Gamma_1, \Gamma_2, \dots$$

of φ_0 -types such that

- $\varphi_0 \in \Gamma_0$
- $\pi^i \models \varphi$ for any $\varphi \in \Gamma_i$
- $\Gamma_i \to \Gamma_{i+1}$
- If $\Diamond \varphi \in \Gamma_i$, then $\varphi \in \Gamma_j$ for some $j \ge i$

$$\pi = \pi_{0}, \ \pi_{1}, \ \pi_{2}, \ \pi_{3}, \ \pi_{4}, \ \pi_{5}, \ \pi_{6}, \ \pi_{7}, \ \dots$$

$$\pi^{1} = \pi_{1}, \ \pi_{2}, \ \pi_{3}, \ \pi_{4}, \ \pi_{5}, \ \pi_{6}, \ \pi_{7}, \ \dots$$

$$\pi^{2} = \pi_{2}, \ \pi_{3}, \ \pi_{4}, \ \pi_{5}, \ \pi_{6}, \ \pi_{7}, \ \dots$$

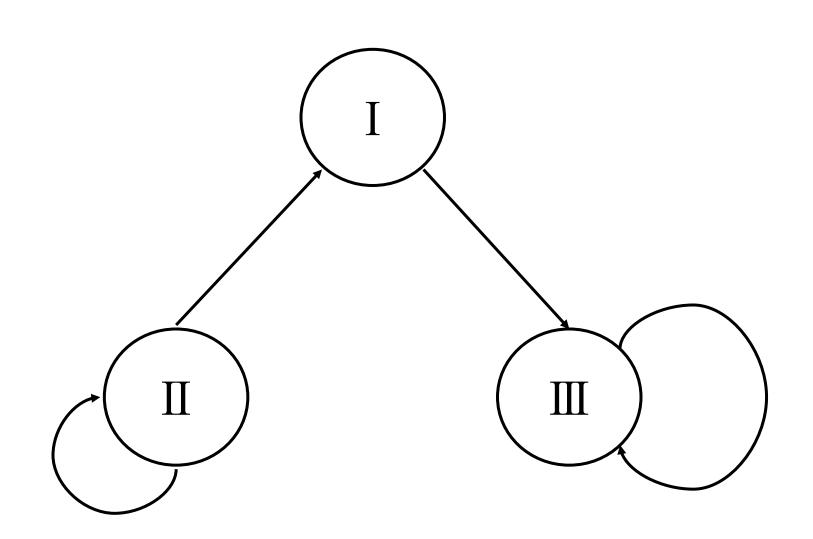
$$\pi^{3} = \pi_{3}, \ \pi_{4}, \ \pi_{5}, \ \pi_{6}, \ \pi_{7}, \ \dots$$

$$\pi^{4} = \pi_{4}, \ \pi_{5}, \ \pi_{6}, \ \pi_{7}, \ \dots$$

$$\pi^{5} = \pi_{5}, \ \pi_{6}, \ \pi_{7}, \ \dots$$

$$\pi^{5} = \pi_{5}, \ \pi_{6}, \ \pi_{7}, \ \dots$$

$$\Pi = \Gamma_{0}, \Gamma_{1}, \Gamma_{2}, \Gamma_{3}, \Gamma_{4}, \Gamma_{5}, \dots$$

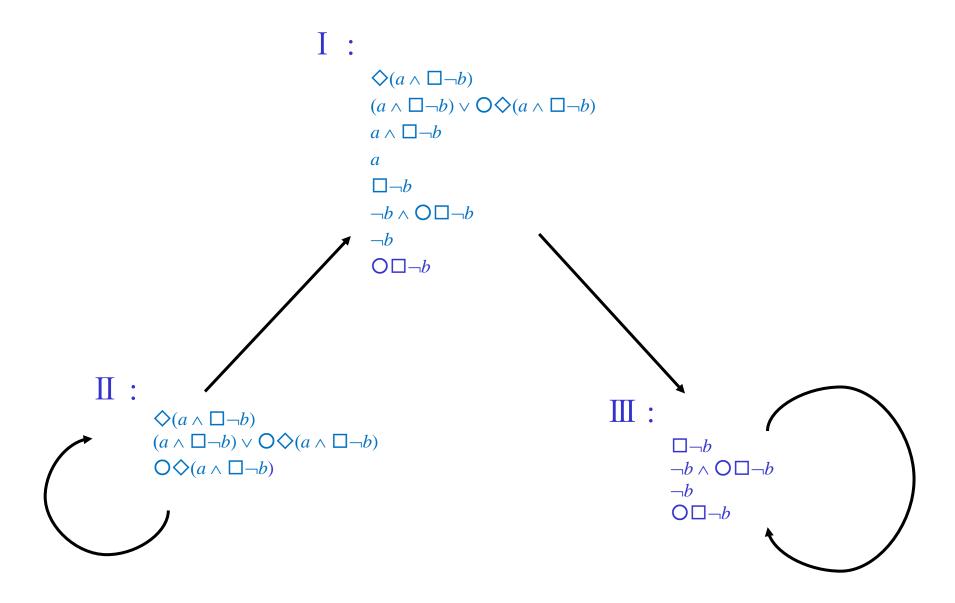


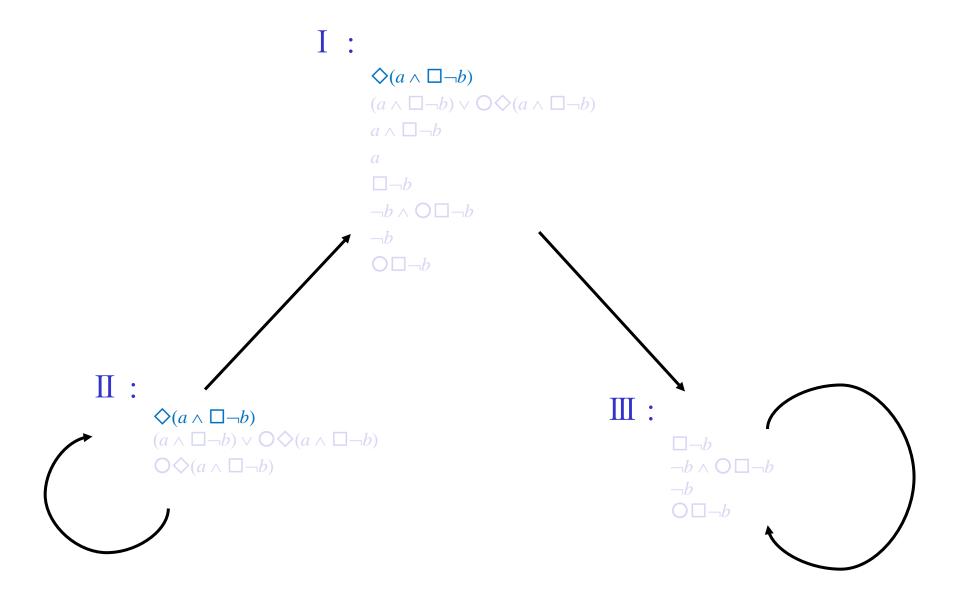
Conversely ...

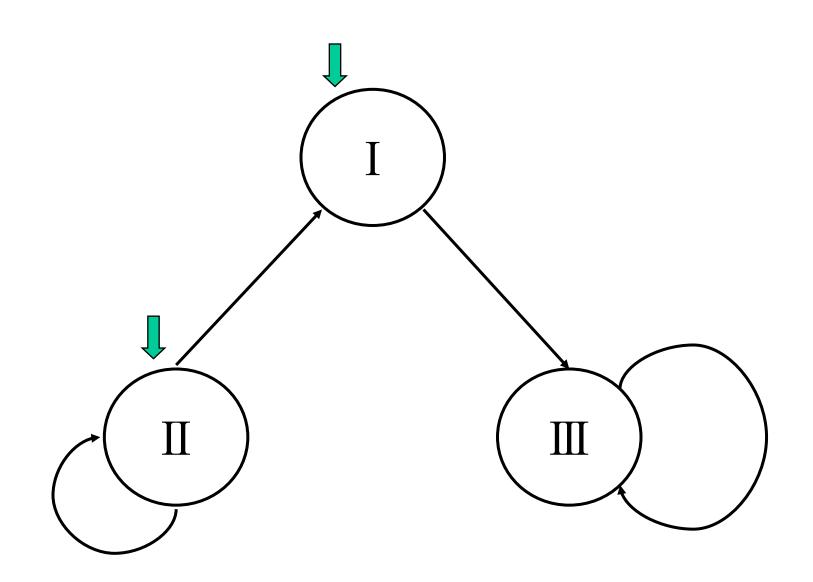
- If an infinite sequence $\Pi = \Gamma_0, \Gamma_1, \Gamma_2, ...$ of φ_0 -types $\Gamma_i \subseteq cl(\varphi_0)$ satisfies the above conditions and the following, then $\pi^i \models \varphi$ for any $\varphi \in \Gamma_i$ (in particular, $\pi \models \varphi_0$)
- If $P \in \Gamma_i$, then $P \in L(\pi_i)$
- If $\neg P \in \Gamma_i$, then $P \in L(\pi_i)$ does not hold

ω-automaton

- In order to characterize an infinite sequence that satisfies the above conditions, construct an ω -automaton whose states are φ_0 -types $\Gamma \subseteq cl(\varphi_0)$
- Its transitions are defined by $\Gamma \to \Gamma'$
- Initial states Γ_0 satisfy $\varphi_0 \in \Gamma_0$

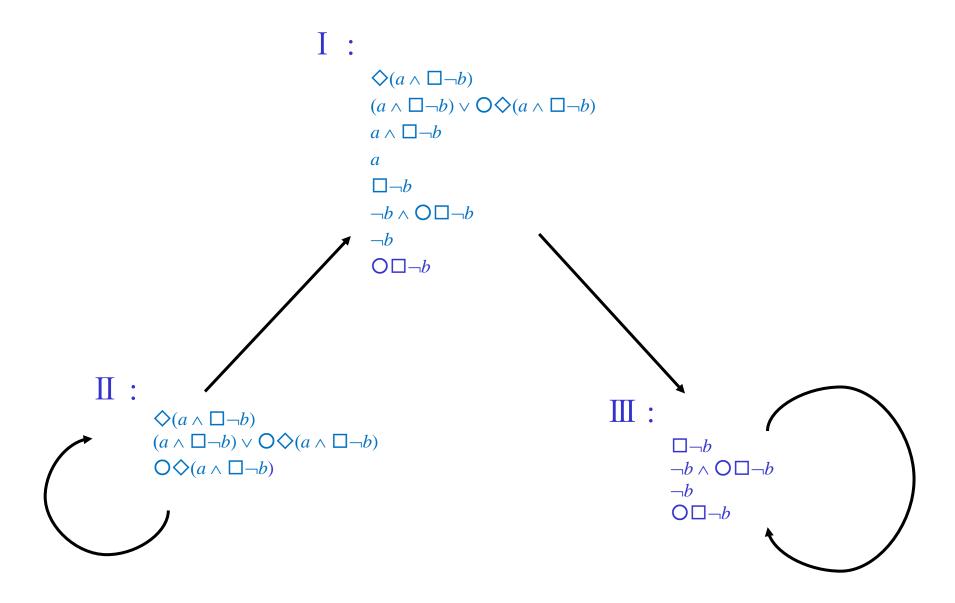


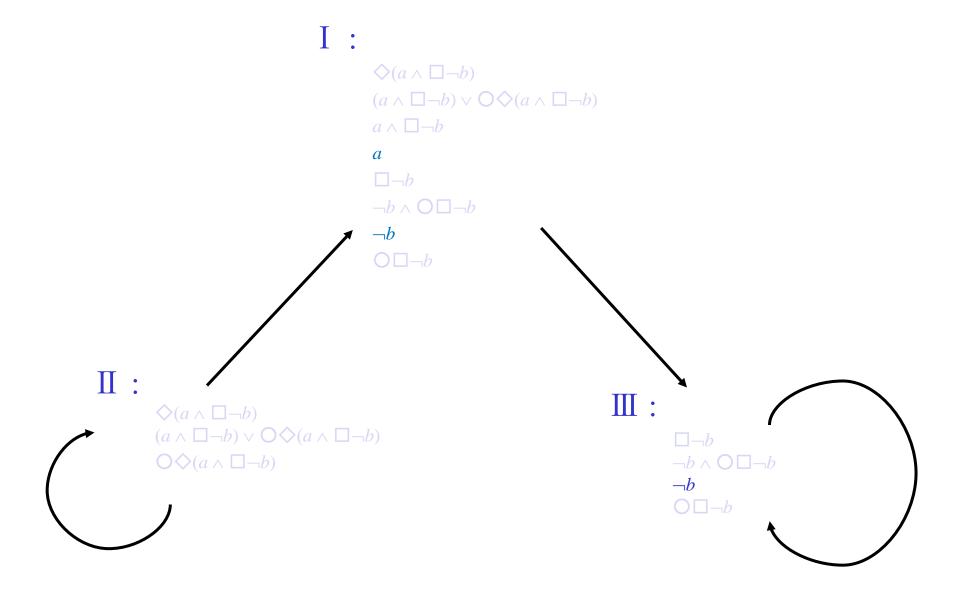


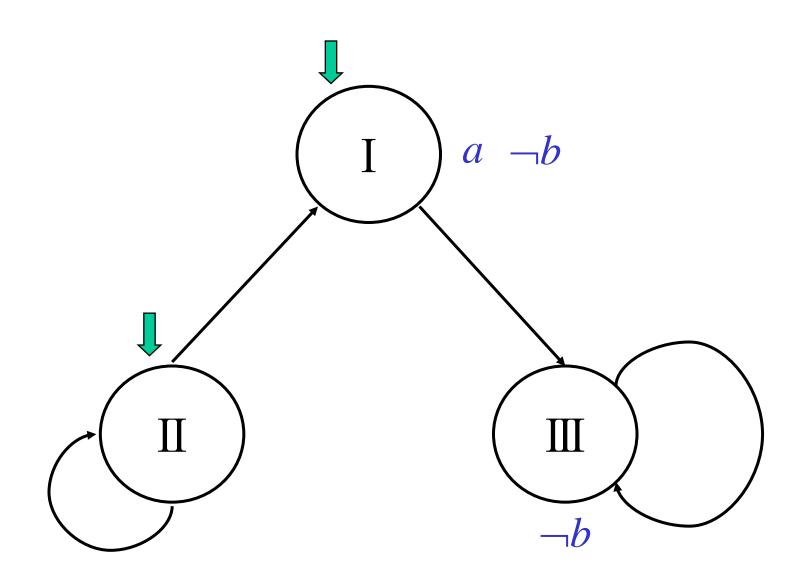


Labels

- In order to check the following conditions, propositional symbols and their negations are put as labels to states (φ_0 -types)
- If $P \in \Gamma_i$, then $P \in L(\pi_i)$
- If $\neg P \in \Gamma_i$, then $P \in L(\pi_i)$ does not hold







Condition on Infinite Paths

• We want to characterize infinite paths $\Pi = \Gamma_0, \Gamma_1, \Gamma_2, \ldots$ over the ω -automaton that satisfy the following conditions If $\Diamond \varphi \in \Gamma_i$, then $\varphi \in \Gamma_i$ for some $j \geq i$

• This is equivalent to

For each $\diamondsuit \varphi \in cl(\varphi_0)$, elements of $F(\diamondsuit \varphi)$ occur infinite times

Where

$$F(\diamondsuit \varphi) = \{ \Gamma \mid \diamondsuit \varphi \not\in \Gamma \text{ or } \varphi \in \Gamma \}$$

What is $F(\diamondsuit(a \land \Box \neg b))$?

 $\Diamond (a \wedge \Box \neg b)$

 $\bigcirc \Diamond (a \land \Box \neg b)$

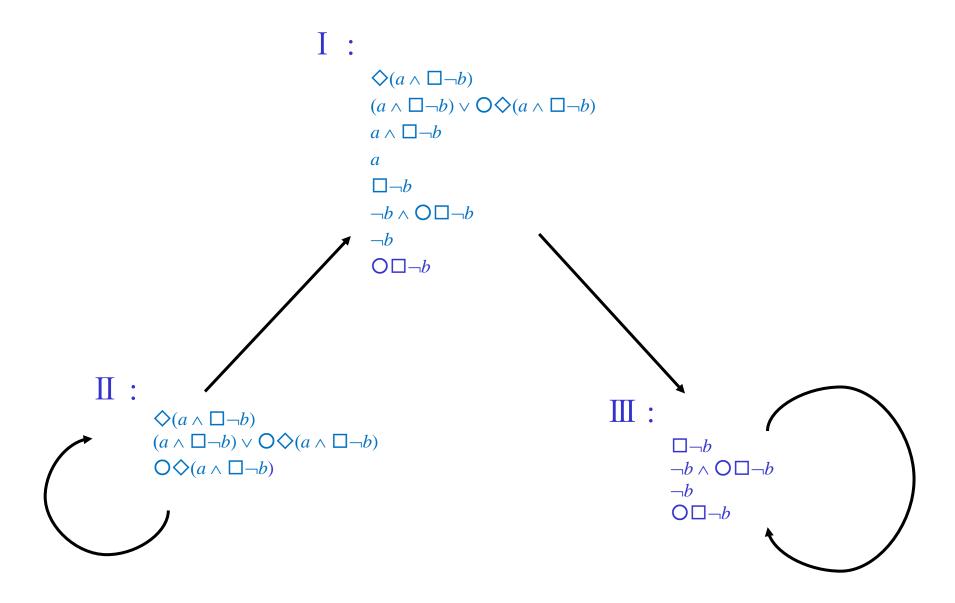
 $(a \land \Box \neg b) \lor \bigcirc \Diamond (a \land \Box \neg b)$

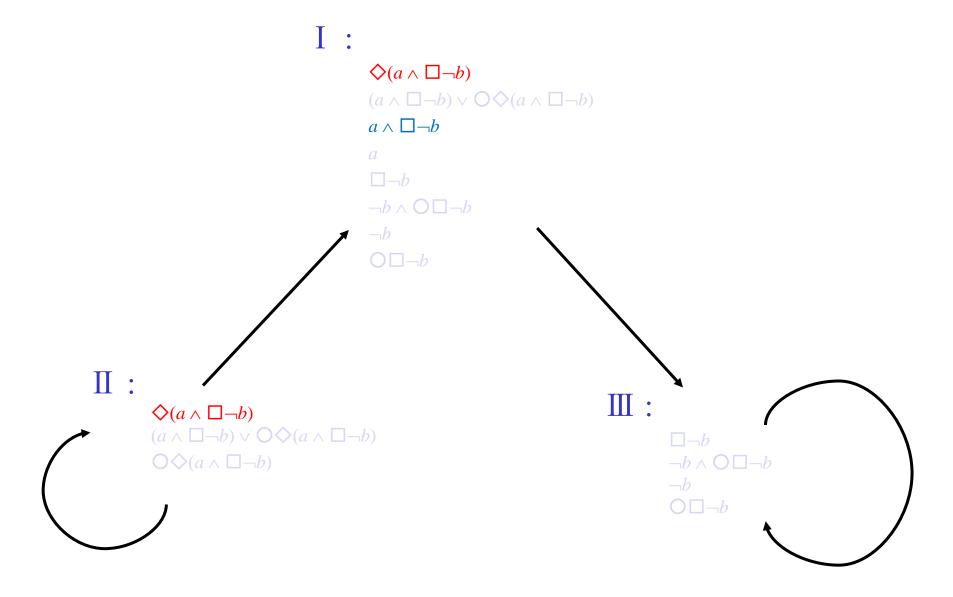
```
1. none
```

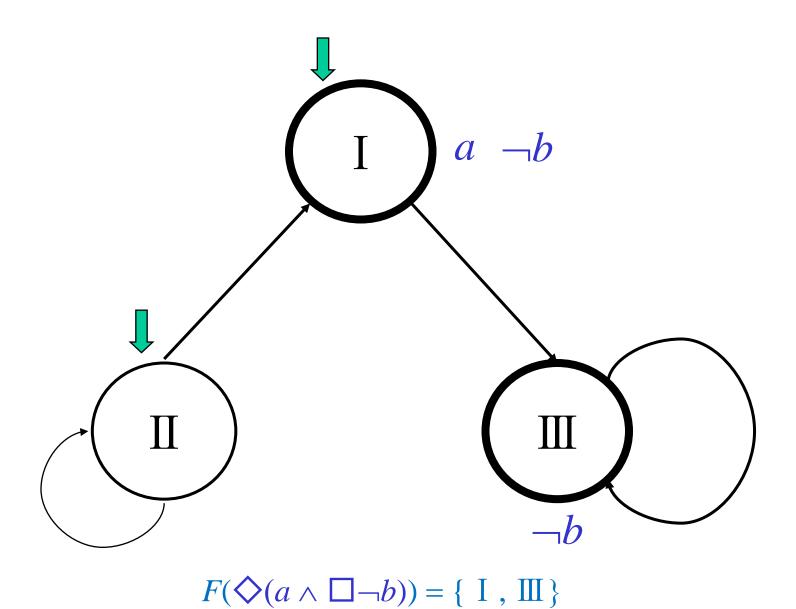
- 3. I and Π
- 4. I and III
- 5. I and II and III
- 6. II
- 7. II and III
- 8. III

```
F(\diamondsuit \varphi) = \{ \Gamma \mid \diamondsuit \varphi \notin \Gamma \text{ $\texttt{z}$ } \text{ $\texttt{z}$ } \text{ $\texttt{z}$ } \varphi \in \Gamma \}
```

```
 \diamondsuit(a \land \Box \neg b) 
 (a \land \Box \neg b) \lor \bigcirc \diamondsuit(a \land \Box \neg b) 
 a \land \Box \neg b 
 a 
 \Box \neg b 
 \neg b \land \bigcirc \Box \neg b 
 \neg b 
 \bigcirc \Box \neg b 
 \bigcirc \Box \neg b
```







Model Checking

• For a Kripke structure $K=\langle S, R, L \rangle$, an initial state $s_0 \in S$, and a formula φ_0 ,

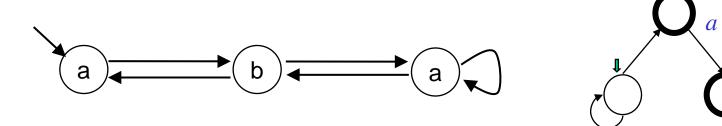
Is there any model $\pi = \pi_0, \pi_1, \pi_2, \dots$ of φ_0 that satisfies $\pi_0 = s_0$?

Equivalent to the Following

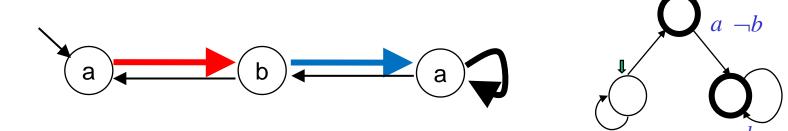
Is there an infinite path $\Pi = \Gamma_0, \Gamma_1, \Gamma_2, ...$ over the ω -automaton such that

- For each $\Diamond \varphi \in cl(\varphi_0)$, elements of $F(\Diamond \varphi)$ occur infinite times
- If $P \in \Gamma_i$, then $P \in L(\pi_i)$
- If $\neg P \in \Gamma_i$, then $P \in L(\pi_i)$ does not hold

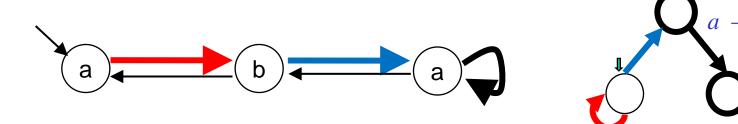
Example



Example



Example



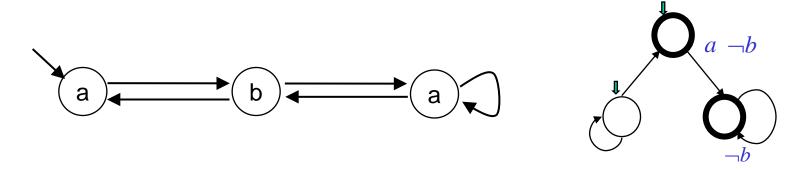
• In order to simultaneously check the existence of π and that of Π , construct the "synchronous product" of the ω -automaton and K

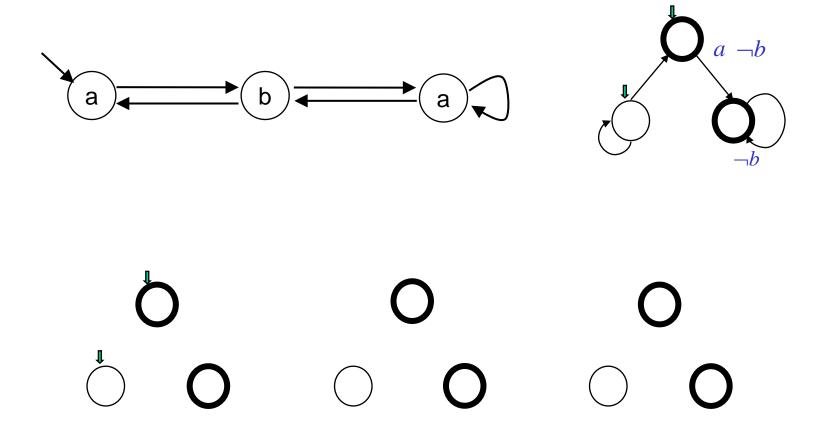
```
States: \langle s, \Gamma \rangle where \{P \mid P \in \Gamma\} \subseteq L(s)

\{P \mid \neg P \in \Gamma\} \cap L(s) = \emptyset

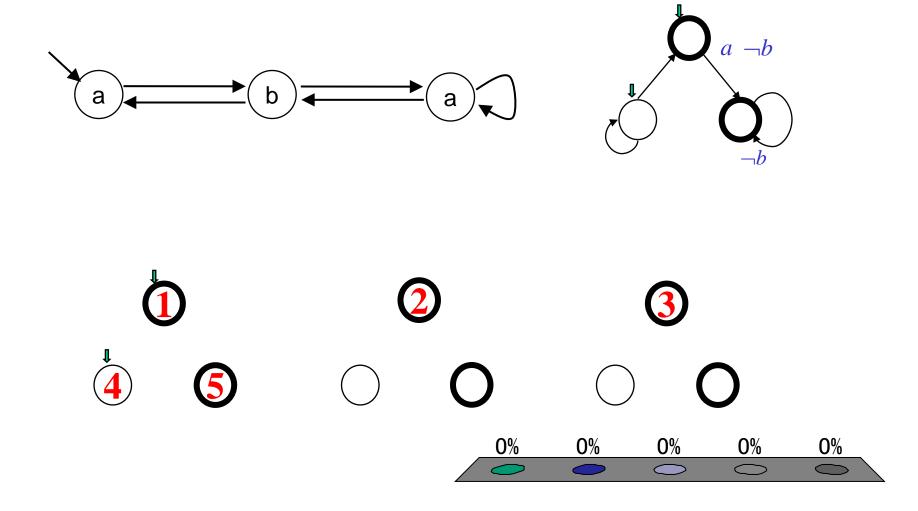
Initial states: \langle s_0, \Gamma_0 \rangle pair of initial states

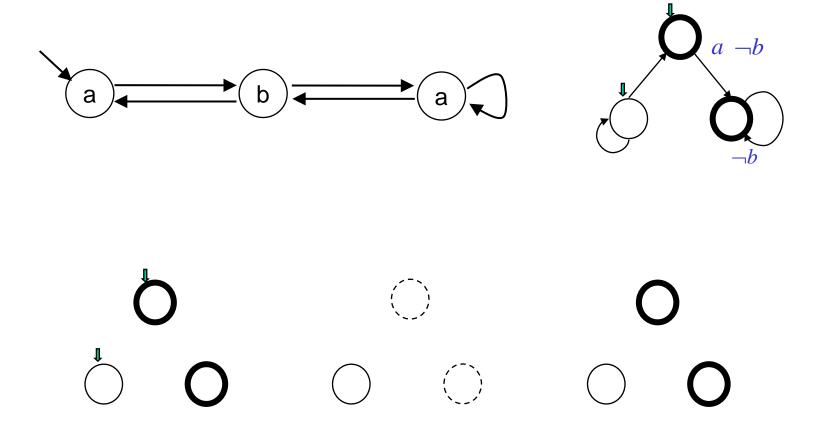
Transitions: \langle s, \Gamma \rangle \rightarrow \langle s', \Gamma' \rangle iff R(s, s') and \Gamma \rightarrow \Gamma'
```



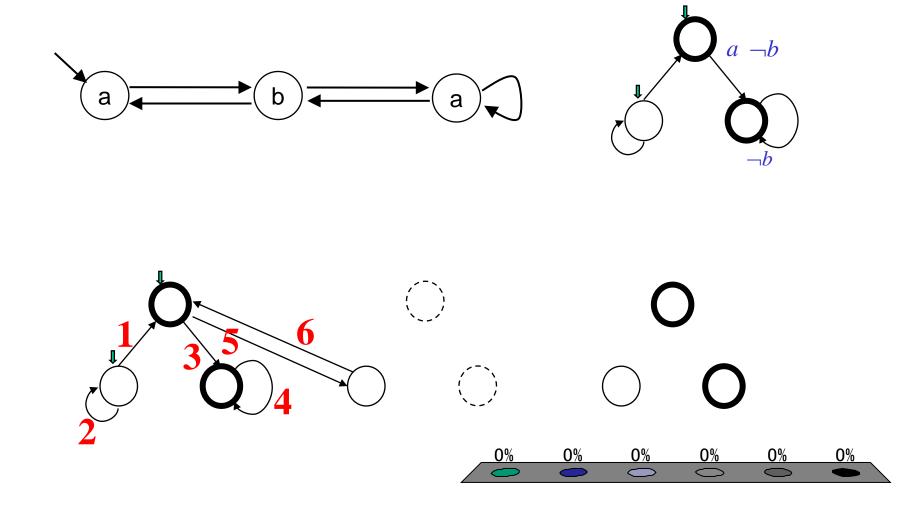


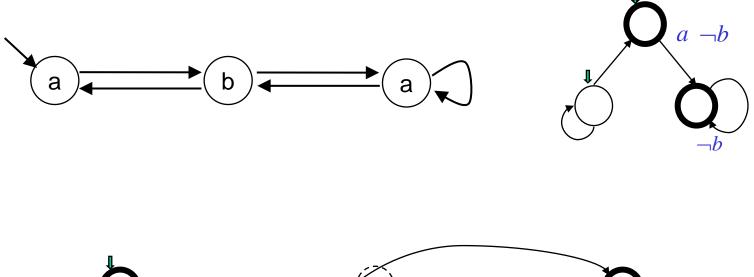
Which state does not exist?

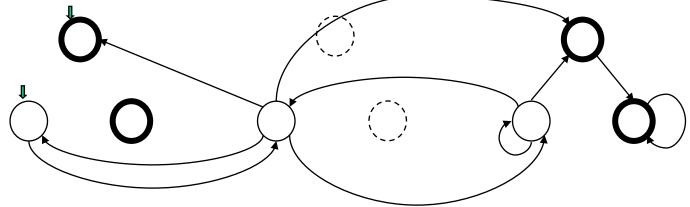


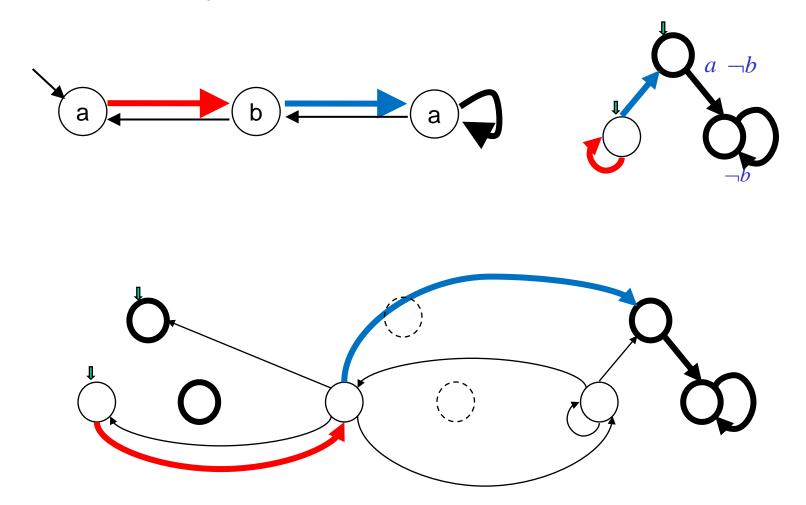


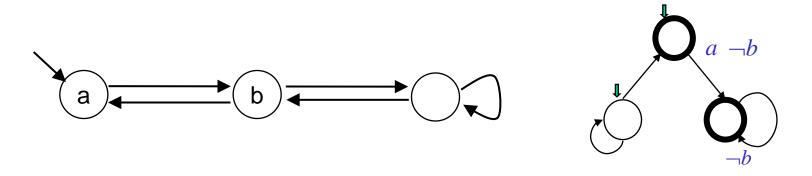
Which transition exists?

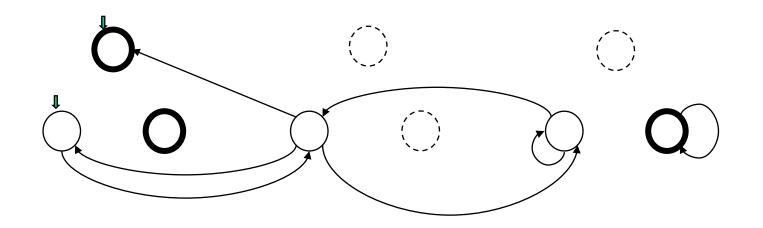












Condition for Existence of a Model

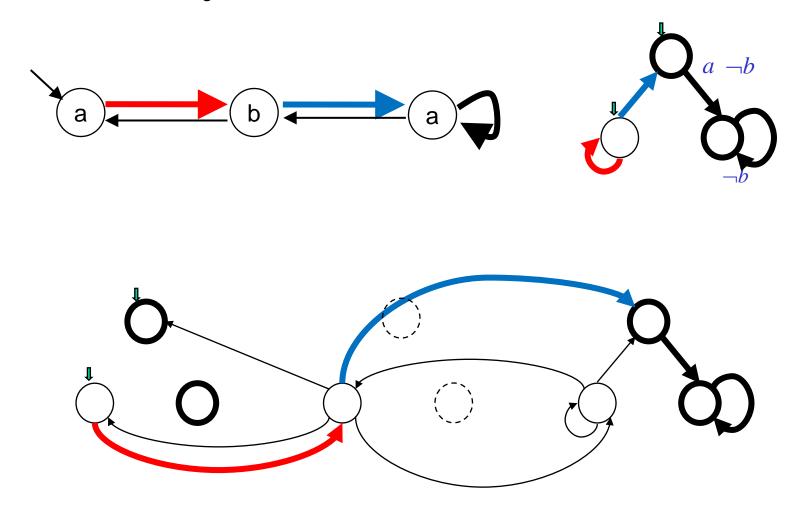
• There exists a model $\pi = \pi_0, \pi_1, \pi_2, \dots$ of ϕ_0 iff

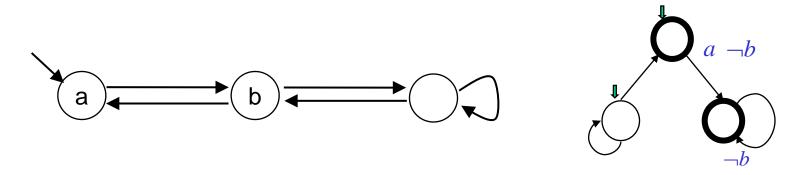
There exists an infinite path

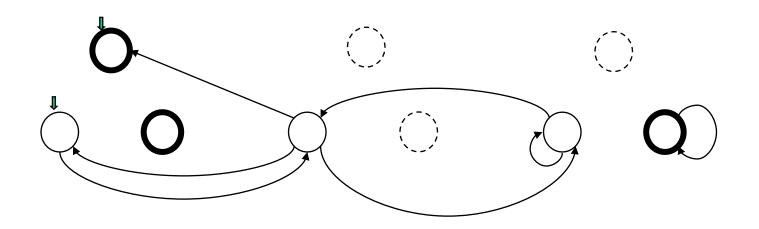
$$\langle \pi_0, \Gamma_0 \rangle \rightarrow \langle \pi_1, \Gamma_1 \rangle \rightarrow \dots$$

in the synchronous product such that for each $\diamondsuit \varphi \in cl(\varphi_0)$, elements of $F(\diamondsuit \varphi)$ occur infinite times

⇔ Existence of a kind of loop







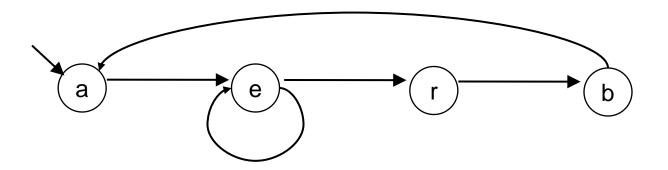
Condition on a Loop

- Reachable from an initial state
- For each $\Diamond \varphi \in cl(\varphi_0)$, elements of $F(\Diamond \varphi)$ occur infinite times
- Existence of such a loop can be decided by checking strongly connected components in the synchronous product

Report

Don't forget to negate it

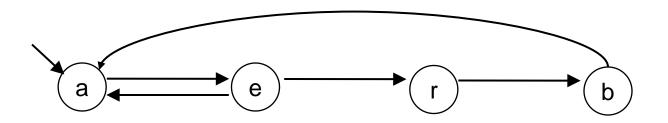
- Construct an ω -automaton for verifying $\Box \diamondsuit (e \supset r) \supset \Box (a \supset \diamondsuit b)$
- Verify that the formula holds in each path of the following Kripke structure



Report'

• Construct an ω -automaton for verifying $(\Box \diamondsuit e \supset \Box \diamondsuit r) \supset \Box (a \supset \diamondsuit b)$

 Verify that the formula holds in each path of the following Kripke structure



until

$$\pi = \varphi$$
 until ψ

iff $\pi^i = \psi$ for some $i \ge 0$

and

 $\pi^j = \varphi$ for any $j < i$

release

$$\pi = \varphi$$
 release ψ

iff $\pi^i = \varphi$ for some $i \ge 0$

and

 $\pi^j = \psi$ for any $j \le i$

or $\pi^j = \psi$ for any j

until and release and –

$$\pi \models \neg (\phi \text{ until } \psi)$$

$$\text{iff} \quad \pi \models \neg \phi \text{ release } \neg \psi$$

$$\pi \models \neg (\phi \text{ release } \psi)$$

$$\text{iff} \quad \pi \models \neg \phi \text{ until } \neg \psi$$

until and release and O

$$\pi \models \phi \text{ until } \psi$$

$$\text{iff} \quad \pi \models \psi \lor O(\phi \text{ until } \psi)$$

$$\pi \models \phi \text{ release } \psi$$

$$\text{iff} \quad \pi \models \psi \land O(\phi \text{ release } \psi)$$

SPIN

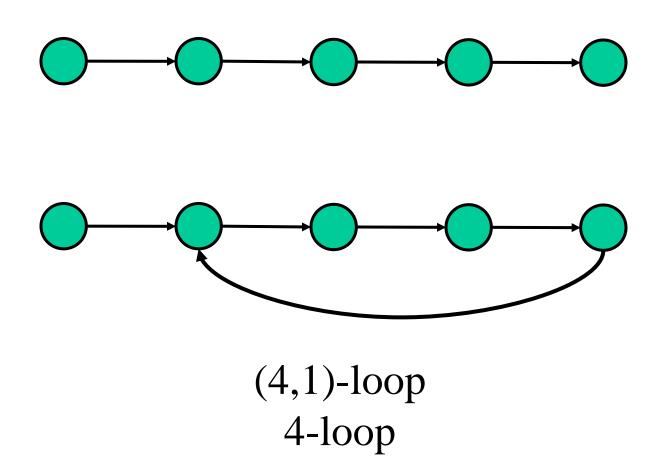
- One of the most popular model checkers
- The target system is described in Promela, a CSP-like concurrent language
- The property is defined in LTL and translated into a NEVER clause of Promela
- The synchronous product is verified
- Applied to verify protocols, algorithms, (software) designs, etc.
- http://spinroot.com/spin/whatispin.html

Bounded Model Checking and SAT/SMT

Bounded Model Checking

- If a verified property is refuted by a finite prefix of a path or by a path with a simple loop, then it gives a counter example
- Verification with respect to a finite prefix or a simple loop can be translated into a SAT problem
- SAT solvers are continuously improved
- Why not use SAT solvers?

Bounded Paths



Bounded Semantics

$$\pi \models_{k} \varphi$$

if π is a k -loop and $\pi \models \varphi$, or

if $\pi \models \varphi$ can be decided only

by looking at $\pi_{0}, \pi_{1}, ..., \pi_{k}$
 $\models_{k} \mathbf{E} \varphi$ iff $\pi \models_{k} \varphi$ for some π
 $\models_{k} \mathbf{E} \varphi$ iff $\pi \models_{k} \varphi$ for some π

Theorem

$$= \mathbf{E} \varphi$$
 iff $=_k \mathbf{E} \varphi$ for some k

Therefore, if φ is the negation of a verified property, $|=_k \mathbf{E} \varphi$ gives an counter-example

Theorem

$$= \mathbf{E} \varphi$$
 iff $=_k \mathbf{E} \varphi$ for some k

Therefore

of a ve

In fact, k can be found in the range up to $|M| \times 2^{|\varphi|}$, where |M| is the number of states and $|\varphi|$ is the length of φ

Translation to SAT

 π_i is represented by $x_{i1}, ..., x_{in}$ $\pi \models_k \phi \text{ is translated into}$ a big boolean formula over $x_{01}, ..., x_{0n}, ..., x_{k1}, ..., x_{kn}$

Translation for a (k,l)-loop

$$Trl(P, l, i, k) := P(x_{i1}, ..., x_{in})$$

$$Trl(\neg P, l, i, k) := \neg P(x_{i1}, ..., x_{in})$$

$$Trl(\phi \land \psi, l, i, k) := Trl(\phi, l, i, k) \land Trl(\psi, l, i, k)$$

$$Trl(O\phi, l, i, k) := Trl(\phi, l, succ(i), k)$$

$$succ(i) := if i == k \text{ then } l \text{ else } i+1$$

$$\operatorname{Trl}(\Box \varphi, l, i, k) := \bigwedge_{j=\min(i,l)}^{k} \operatorname{Trl}(\varphi, l, j, k)$$

Introduction of New Variables

• A new boolean variable is introduced for each subformula and *i*

$$(a \land b) \lor (c \to (a \land b))$$

$$\downarrow \downarrow$$

$$(x \lor (c \to x)) \land (x = (a \land b))$$

Example

$$Trl(\diamondsuit(a \land \Box \neg b), 1, 0, 4) = (a_0 \land (\neg b_0 \land y)) \lor x$$

$$x = Trl(\diamondsuit(a \land \Box \neg b), 1, 1, 4) =$$

$$(a_1 \land y) \lor (a_2 \land y) \lor (a_3 \land y) \lor (a_4 \land y)$$

$$y = Trl(\Box \neg b, 1, 1, 4) =$$

$$\neg b_1 \land \neg b_2 \land \neg b_3 \land \neg b_4$$

- In addition, $(a_i, b_i, ...)$ and $(a_{i+1}, b_{i+1}, ...)$ should satisfy the transition relation R
- $(a_0, b_0, ...)$ should be an initial state

SAT (Satisfiability)

- The problem of deciding whether there exists an assignment that makes a given boolean formula true
 - Assignment --- mapping each boolean variable to 0 or 1

SAT Solvers

- Please refer to other lectures on algorithms
- http://www.satcompetition.org
- DPLL
 - Davis, Putnam, Logemann, and Loveland
 - Assumes conjunctive normal forms
 - To satisfy φ^*rest*, tentatively chooses one literal from φ and recursively attacks *rest* ... while backtracking ...

SMT (Satisfiability Modulo Theories)

- Satisfiability under a specific theory (or combination of theories)
 - See the next slide
- http://www.smtcomp.org
- DLPP(*T*)
 - Works as DLPP while calling the *T*-solver,
 which decides satisfiability of conjunctions, and
 validity of implications between conjunctions

Examples of Theories

- Equality and uninterpreted functions
- Peano arithmetic
- Linear integer arithmetic with constant multiplication
- Real number arithmetic with multiplication
- Rational number arithmetic without constant multiplication
- (Acyclic) recursive types
- Arrays

Nelson-Oppen

- Method to handle combinations of theories
- Split a formula with symbols from T_1 and T_2 into a formula in T_1 and a formula in T_2 (purification)

$$x \le y \land y \le x + f(x)$$

$$\downarrow \downarrow$$

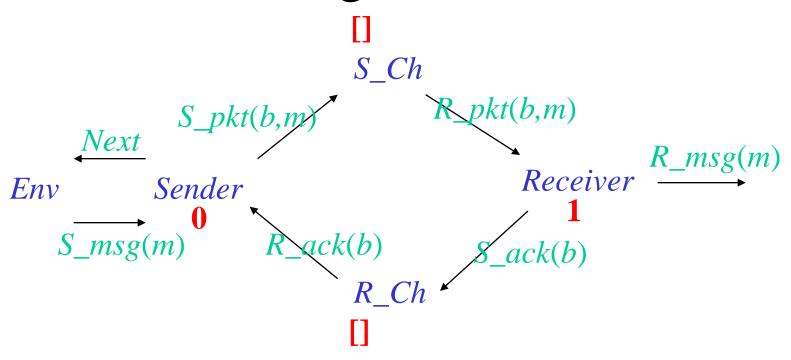
$$x \le y \land y \le x + z \land z = f(x)$$

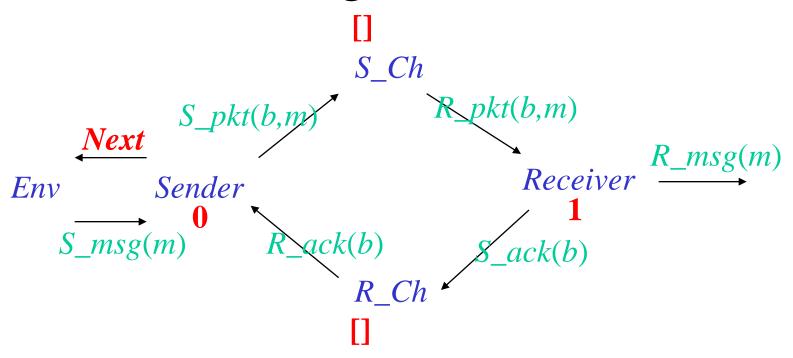
Abstract Model Checking and CEGAR

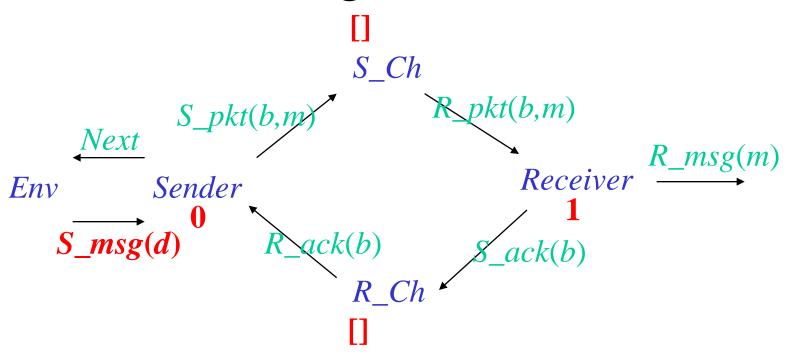
Abstraction

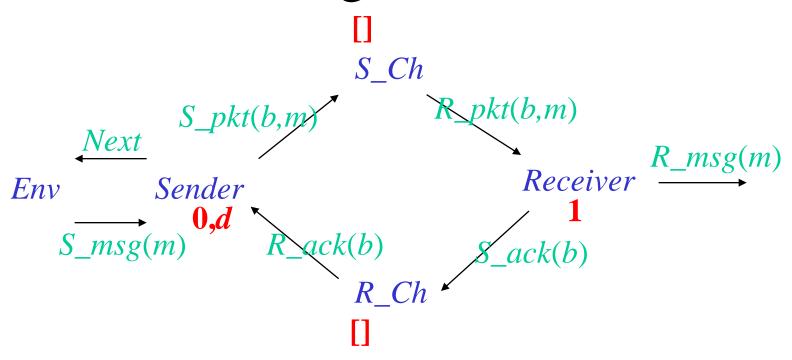
- Abstraction of states → abstract states
- A mapping $\alpha: S \to A$
- Usually, A is much simpler than S
 - Typically, A is a finite set while S is infinite
- Example: alternating bit protocol
 - State is a tuple of
 - Sender's state
 - Receiver's state
 - Channels' states --- infinite ← apply abstraction

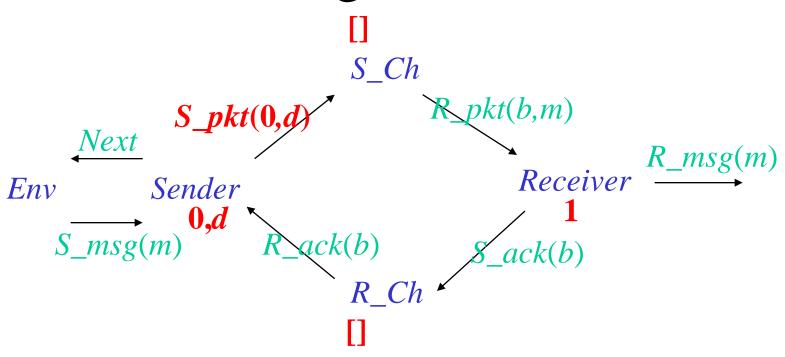
- Sender
- Receiver
- *S_Ch*: channel of message packets
 - Each packet is a pair of a message and a header
 - Modeled as a queue of packets
 - Messages may be duplicated or lost
- *R_Ch*: channel of acknowledgements
 - Ditto

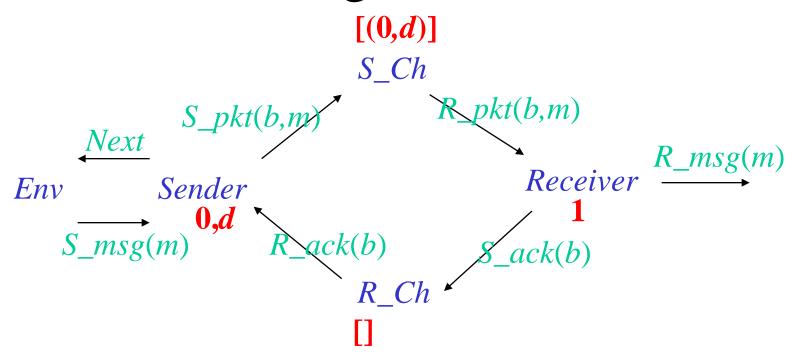


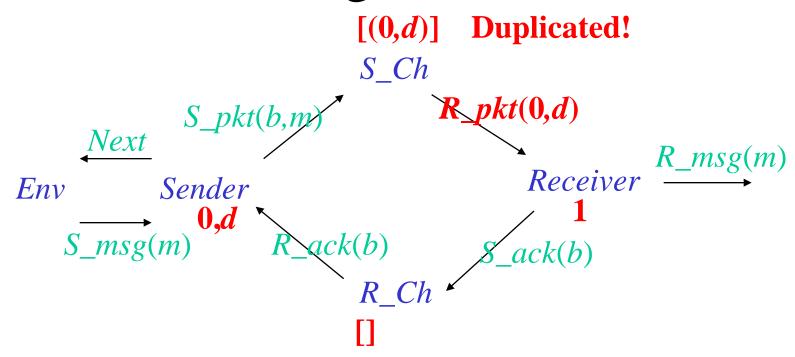


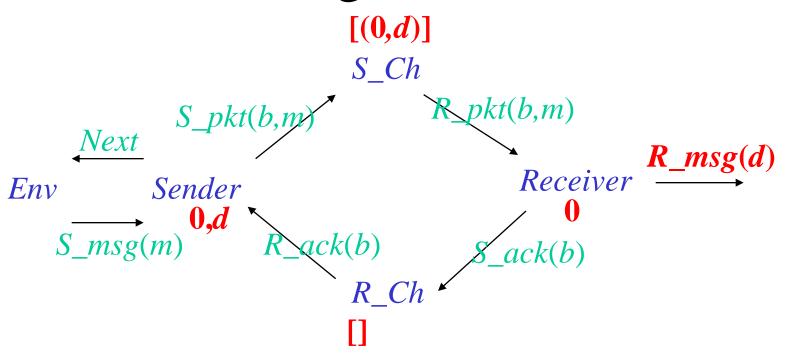


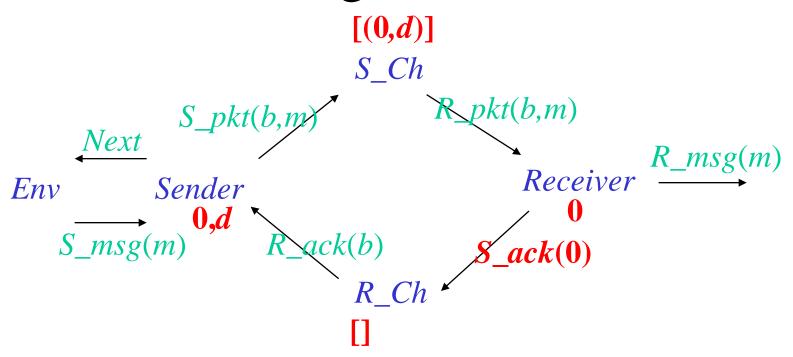


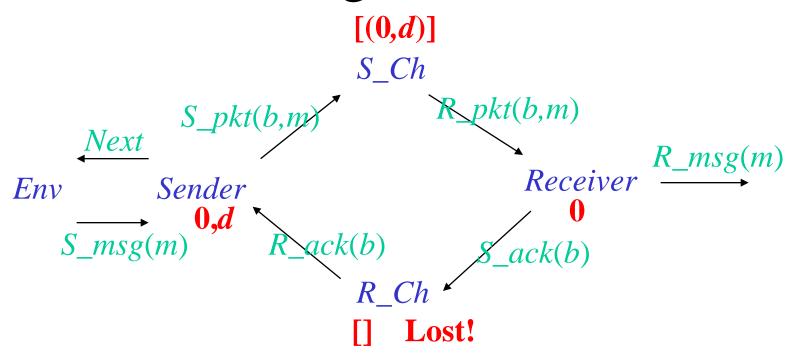


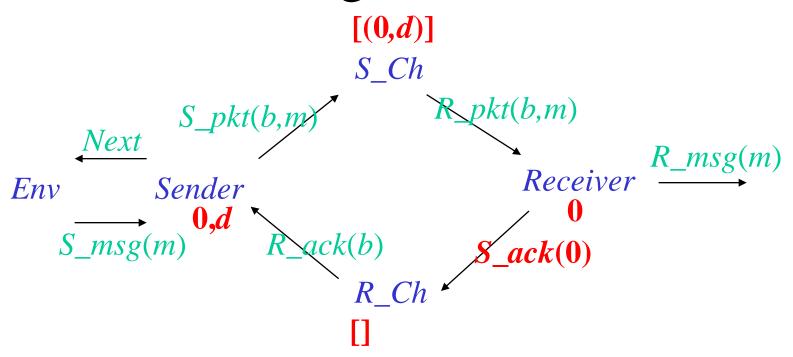


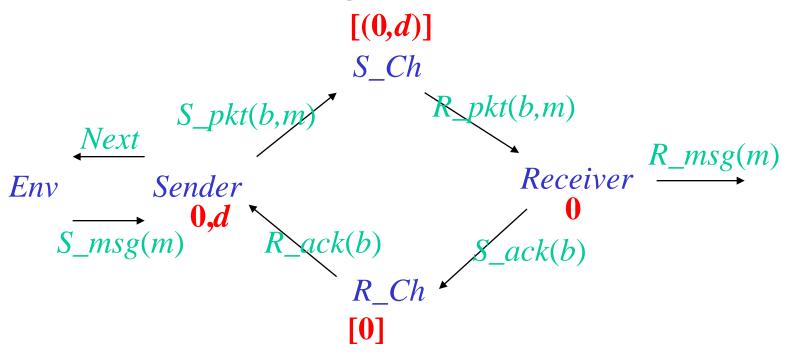


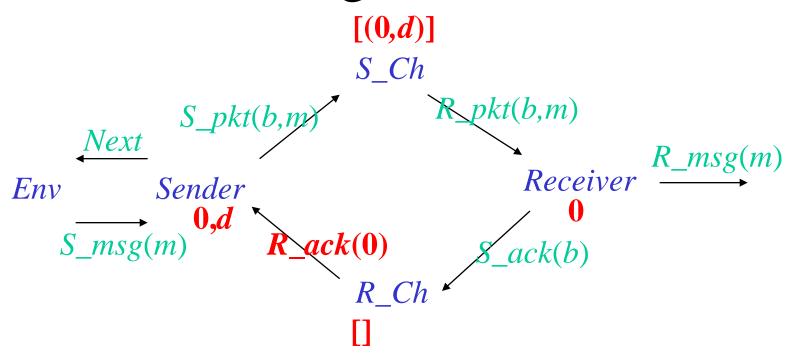


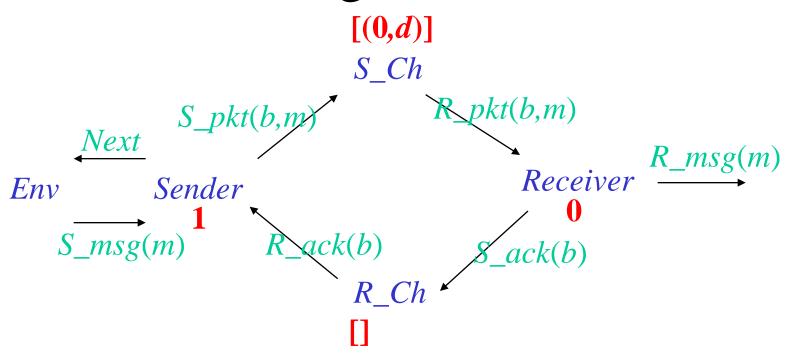


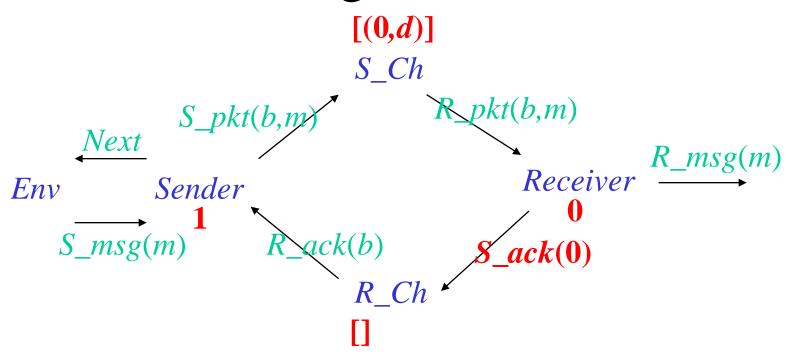


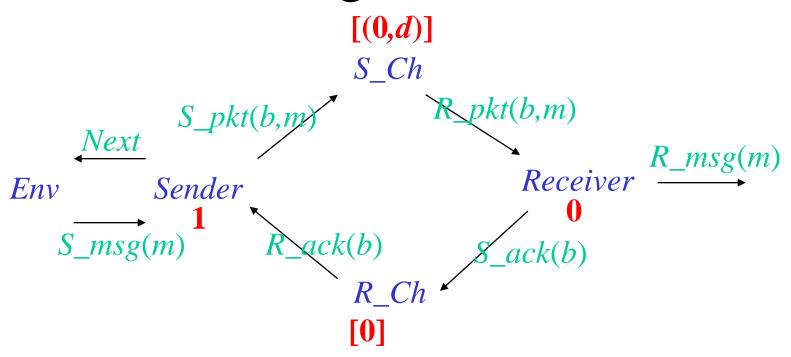


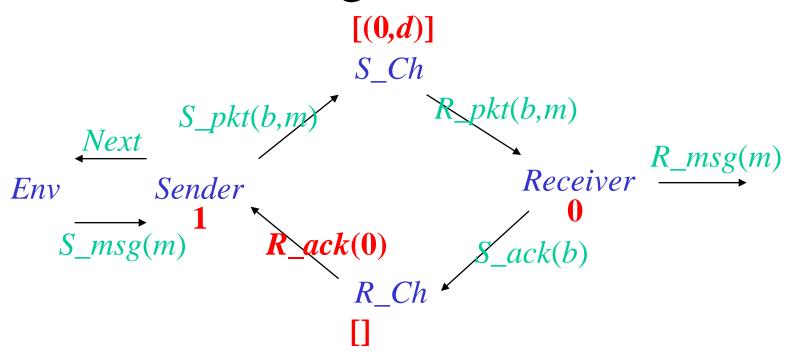


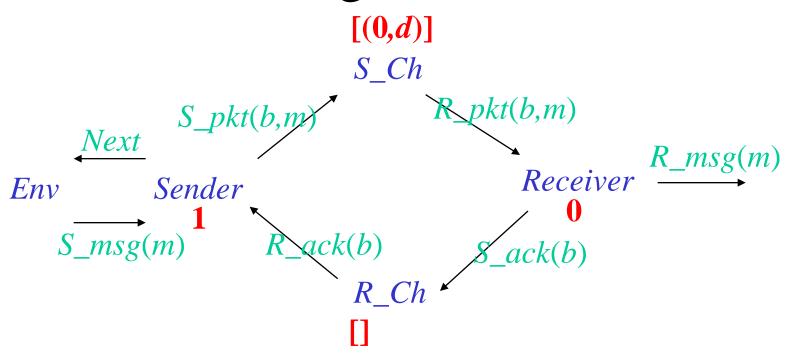


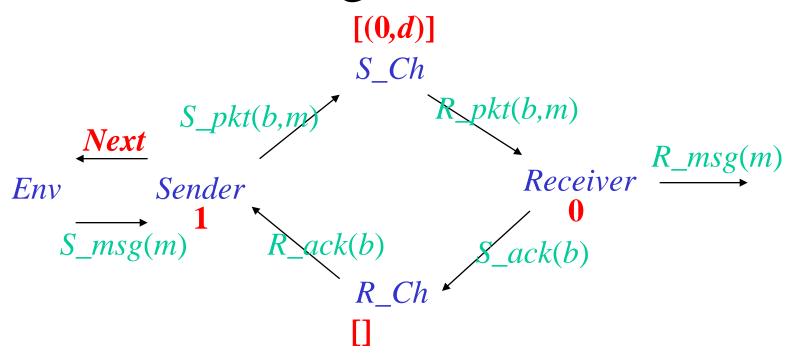


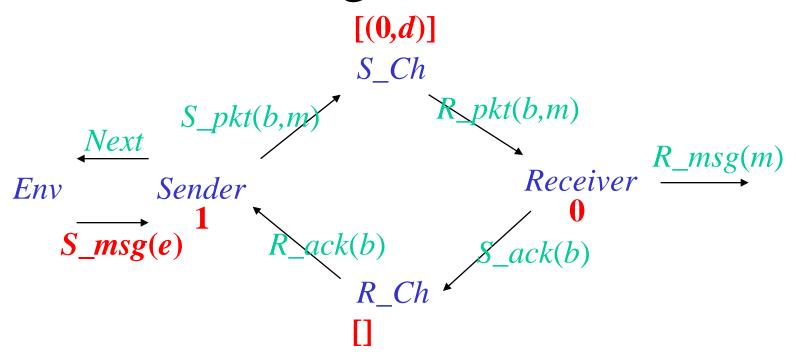


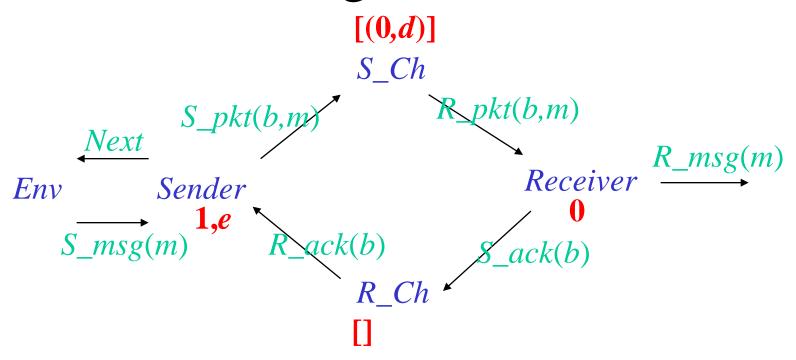


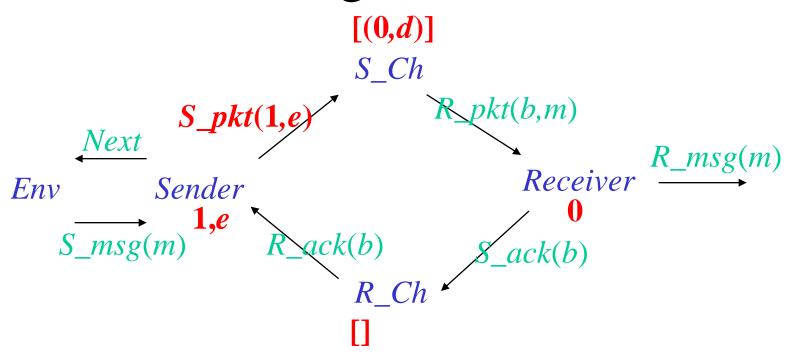


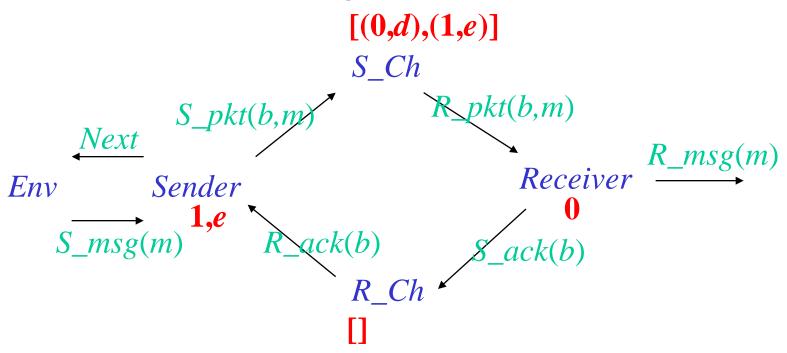


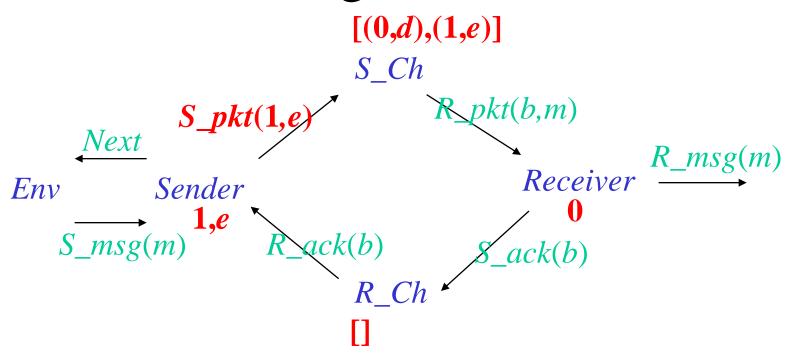


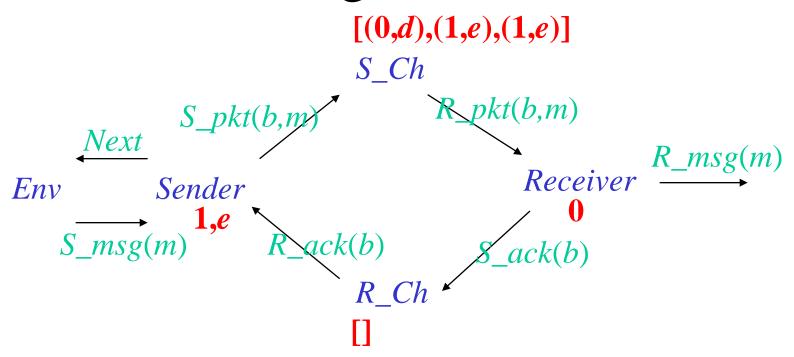


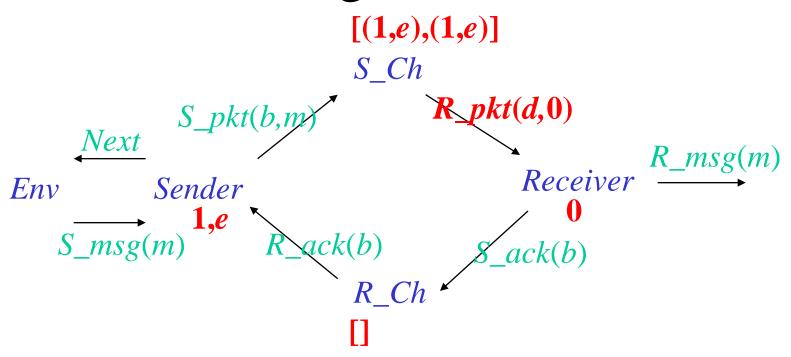


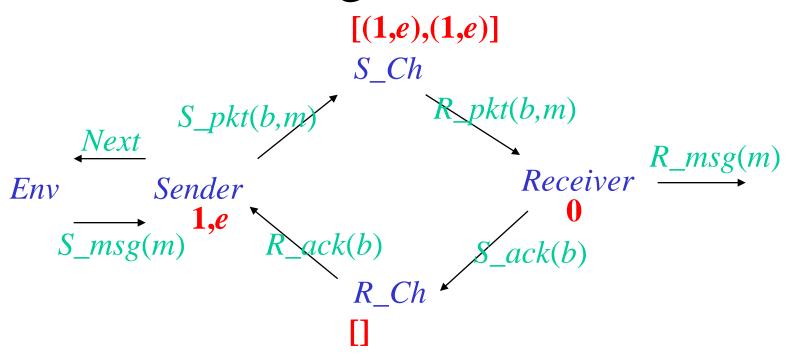


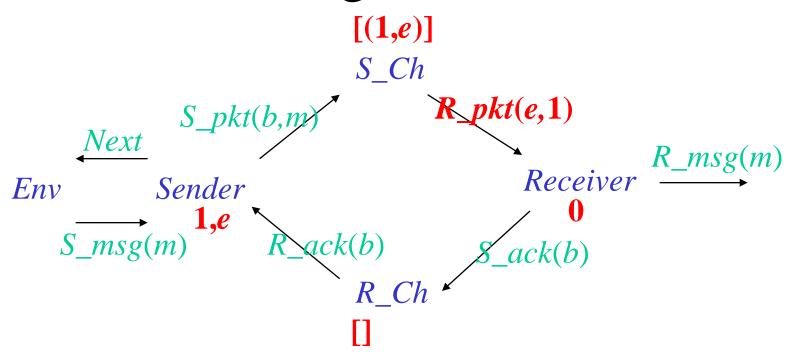


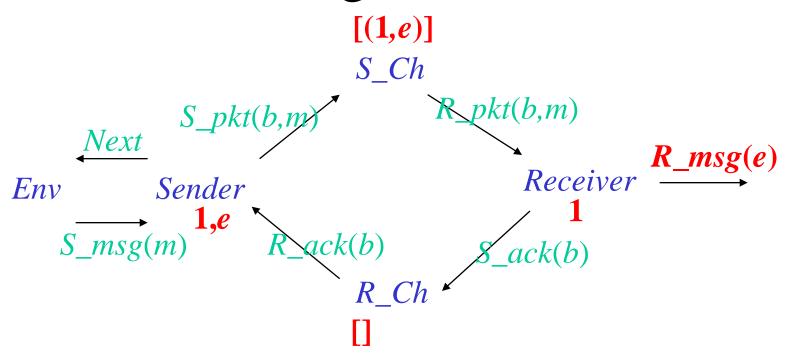


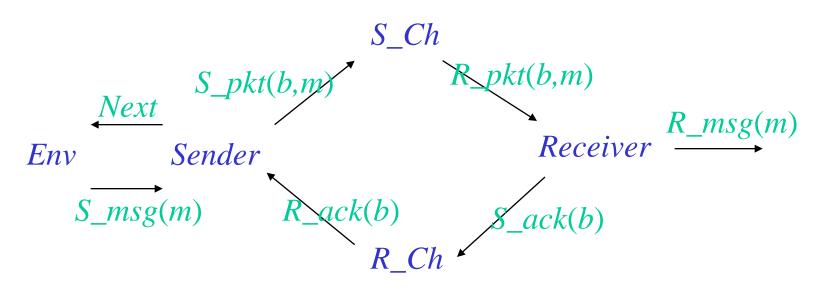












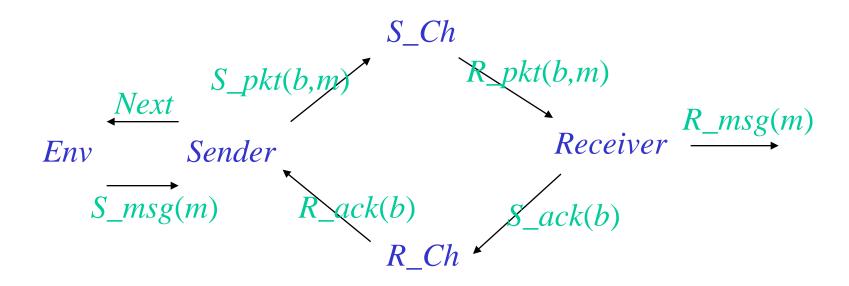
Sender state: message: None or Some(m)

header: 0 or 1

trans.: • if *message=None* then

send Next; get $S_msg(m)$; message := Some(m)

- if message = Some(m) then send $S_pkt(header, m)$
- when $R_{ack}(b)$ is received if b=header then flip header; message := None

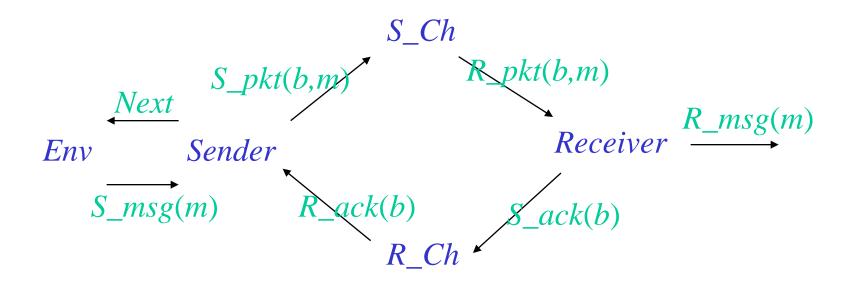


Receiver

```
state: header: 0 or 1

trans.: • when R_pkt(b,m) is received
    if b≠header then
    send R_msg(m); flip header

• send S_ack(header)
```



 S_Ch state: queue of messages trans.: • when $S_pkt(b,m)$ is received enqueue m or ignore m (m is lost)

• if the queue is not empty
let *m* be the first message; send *R_pkt(b,m)*dequeue *m* or do not dequeue *m* (*m* is duplicated)

Concrete States

- Queues may grow unlimitedly
 - An element of a queue may be duplicated indefinitely
- The concrete state space is obviously infinite

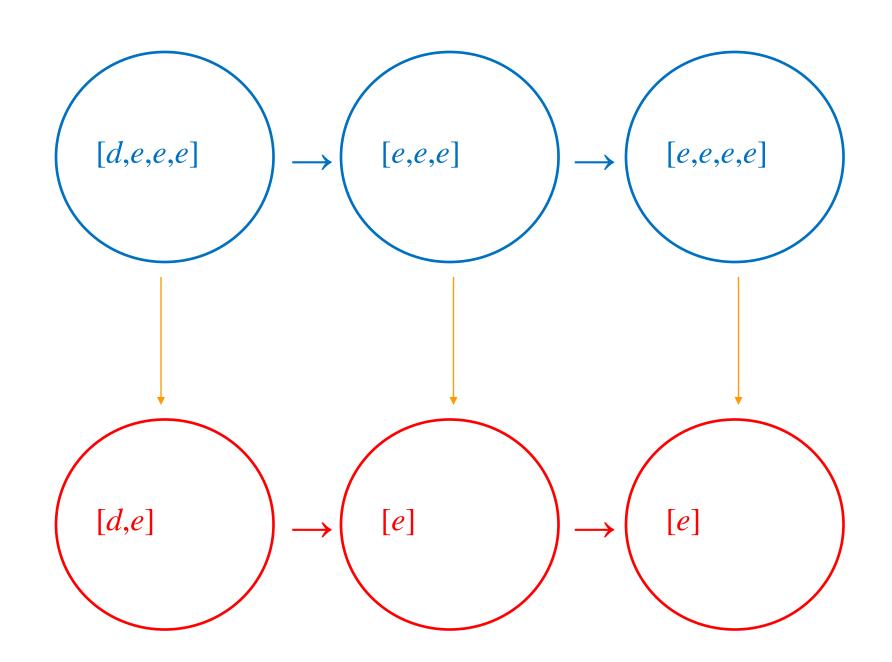
Abstraction of Channels

- Reducing queues by removing duplicated elements in a queue
 - For example, [d,d,d,e,e,e,f] is reduced to [d,e,f]
- Accordingly, transitions of channels are abstracted

```
state: reduced queue of messages
trans.: • when S_pkt(b,m) is received
enqueue m; reduce or ignore m (m is lost)
```

Abstract S_Ch

• if the queue is not empty
let *m* be the first message; send *R_pkt(b,m)*dequeue *m* or do not dequeue *m* (*m* is duplicated)



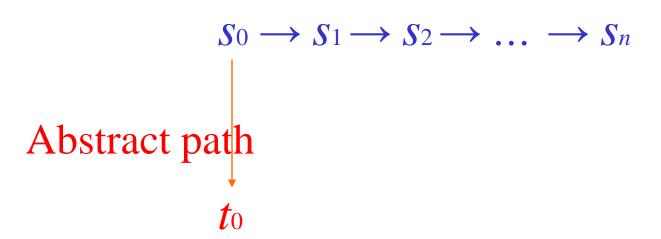
Abstract States

- Queues in channels are reduced
- Even though the abstract state space is still infinite in this example,
- Only a finite number of abstract states are reachable from an initial state
 - The length of a reduced queue in a channel is at most 2

Simulation

• If t is an abstraction of s and $s \rightarrow s'$ then there exists an abstraction t' of s' such that $t \rightarrow t'$ (if $s \rightarrow s'$ then $\alpha(s) \rightarrow \alpha(s')$)

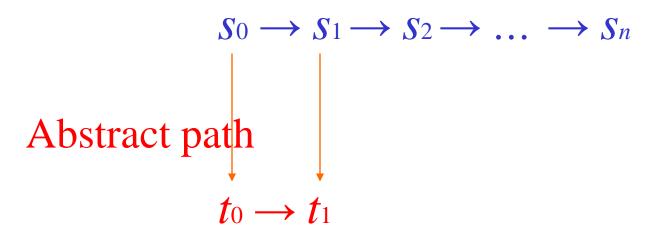
Concrete path



Simulation

• If t is an abstraction of s and $s \rightarrow s'$ then there exists an abstraction t' of s' such that $t \rightarrow t'$ (if $s \rightarrow s'$ then $\alpha(s) \rightarrow \alpha(s')$)

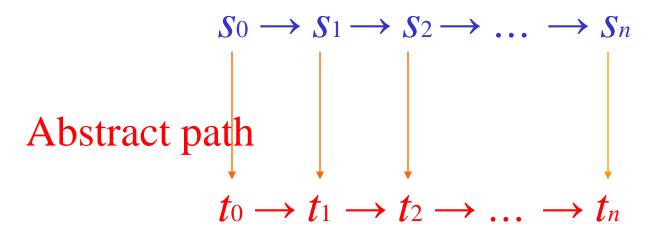
Concrete path



Simulation

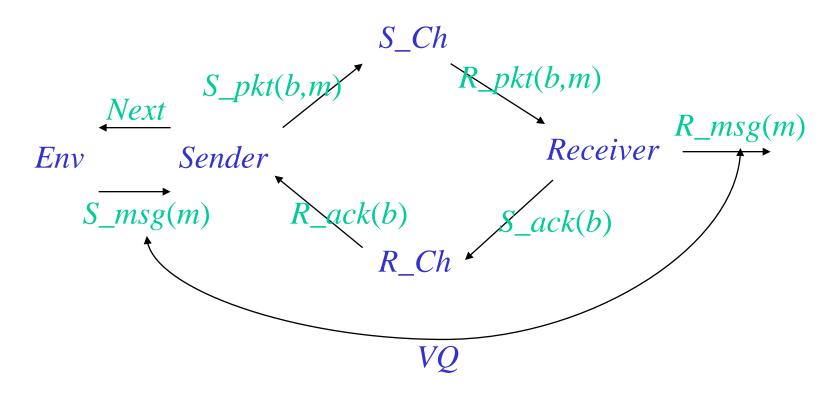
• If t is an abstraction of s and $s \rightarrow s'$ then there exists an abstraction t' of s' such that $t \rightarrow t'$ (if $s \rightarrow s'$ then $\alpha(s) \rightarrow \alpha(s')$)

Concrete path



Abstract Model Checking

- If a property holds in any abstract path, then the corresponding property holds in any concrete path
- Example
 - After a transition by $S_{msg}(m)$, if there exists a transition by $R_{msg}(m')$, then m=m'



After a transition by $S_msg(m)$, if there exists a transition by $R_msg(m')$, then m=m' (This property is expressed by a virtual queue (VQ) that stores $S_msg(m)$ and compares it with $R_msg(m')$)

Microsoft Static Driver Verifier (SDV)

- Hostile model of the driver's execution environment
 - Harness code simulates the operating system initializing and invoking the device driver
 - Stub code provides the semantics for the kernel
 APIs
- SLAM Tookit
 - CEGAR
- API usage rules (properties)
 - About 60

SLAM Toolkit

- Safety verification of system software
 - Target: Device drivers for Windows with well defined interface
- Three phases
 - C2BP: tool for translating (abstracting) C
 programs to Boolean programs, using
 predicates in specifications (API usage rules)
 - BEBOP: model checker for Boolean programs
 - NEWTON: tool that analyzes error paths produced by the model checker, and discovers predicates for refining Boolean programs

CEGAR

- Counter
- Example-
- Guided
- Abstraction
- Refinement

```
state {
   enum { Unlocked=0, Locked=1 }
     state = Unlocked;
KeAcquireSpinLock.return {
  if (state == Locked)
                                  SLIC spec.
   abort;
 else
                                  Specification
    state = Locked;
                                  Language for
                                  Interface
KeReleaseSpinLock.return {
  if (state == Unlocked)
                                  Checking
   abort;
 else
    state = Unlocked;
```

```
enum { Unlocked=0, Locked=1 }
  state = Unlocked;
void slic_abort() {
  SLIC ERROR: ;
void KeAcquireSpinLock return {
  if (state == Locked)
                                   C program
    slic abort();
  else
                                   obtained by
    state = Locked;
                                   compiling
                                   SLIC spec.
void KeReleaseSpinLock return {
  if (state == Unlocked)
    slic abort();
  else
    state = Unlocked;
```

```
void example() {
 do {
  KeAcquireSpinLock();
  nPacketsOld = nPackets;
  req = devExt->WLHV;
  if(req && req->status) {
    devExt->WLHV = req->Next;
    KeReleaseSpinLock();
    irp = req->irp;
    if(req->status > 0){
      irp->IoS.Status = SUCCESS;
      irp->IoS.Info = req->Status;
    } else {
      irp->IoS.Status = FAIL;
      irp->IoS.Info = req->Status;
    SmartDevFreeBlock(req);
    IoCompleteRequest(irp);
    nPackets++;
 } while(nPackets!=nPacketsOld);
 KeReleaseSpinLock();
```

Code for a device driver

```
void example() {
 do {
  KeAcquireSpinLock();
A: KeAcquireSpinLock_return();
  nPacketsOld = nPackets;
  req = devExt->WLHV;
  if(req && req->status) {
    devExt->WLHV = req->Next;
    KeReleaseSpinLock();
B: KeReleaseSpinLock return();
    irp = req->irp;
    if(req->status > 0){
      irp->IoS.Status = SUCCESS;
      irp->IoS.Info = req->Status;
    } else {
      irp->IoS.Status = FAIL;
      irp->IoS.Info = req->Status;
    SmartDevFreeBlock(reg);
    IoCompleteRequest(irp);
    nPackets++;
 } while(nPackets!=nPacketsOld);
 KeReleaseSpinLock();
C:KeReleaseSpinLock return();
```

Device driver code with inserted checks for specification

```
decl {state==Locked}, {state==Unlocked};
               + hegin grad
void s
                                      skip; end
       Boolean var.
                          Boolean var.
void KeAcquireSpinLock return
begin
  if ({state==Locked})
    slic abort();
  else
    {state==Locked},{state==Unlocked} := T,F;
begin
void KeReleaseSpinLock_return
begin
  if ({state==Unlocked})
    slic abort();
  else
    {state==Locked}, {state==Unlocked} := F,T;
end
```

Boolean program obtained from SLIC spec.

```
decl bL, bU;
void slic abort() begin SLIC ERROR: skip; end
void KeAcquireSpinLock return
begin
  if (bL)
    slic abort();
  else
    bL,bU := T,F;
begin
void KeReleaseSpinLock_return
begin
  if (bU)
    slic_abort();
  else
    bL,bU := F,T;
end
```

Boolean program obtained from SLIC spec.

```
void example() begin
 do {
  skip();
A: KeAcquireSpinLock return();
  skip;
  skip;
  if(*) then
    skip;
    skip();
B: KeReleaseSpinLock return();
    skip;
    if(*) then
      skip;
      skip;
    else
      skip;
      skip;
    fi
    skip;
    skip;
    skip;
 } while(*);
 skip();
C:KeReleaseSpinLock return();
end
```

Boolean program obtained from device driver code

*: undetermined

Model Checking

- Find a path that reaches "SLIC_ERROR"
- In this case, an error path

A, A, SLIC_ERROR

is found

- Verify if the error path is valid with respect to the original C program
 - Verification condition generation (VCGen)
- In this case, it is not valid because the predicate
 nPackets==nPacketsOld

is both true and false in the path

Re-abstraction

- Use the predicate
 nPackets==nPacketsOld
- The statement nPacketsOld = nPackets makes the predicate true
- The statement **nPackets++** makes the predicate false if it is now true (detected by the theorem prover)

```
void example() begin
do {
 skip();
A: KeAcquireSpinLock_return();
 b := T;
 skip;
 if(*) then
   skip;
   skip();
B: KeReleaseSpinLock return();
   skip;
                               Boolean program
   if(*) then
     skip;
                               obtained by re-
     skip;
   else
                               abstraction
     skip;
     skip;
   fi
   skip;
   skip;
                               b:
   b := choose(F,b);
                               nPackets==nPacketsOld
 } while(!b);
 skip();
C:KeReleaseSpinLock return();
end
```

Model Checking Again

- In this case, there is no error path that reaches "SLIC_ERROR"
- Loop invariant :

```
(state = Locked \land nPackets = nPacketsOld)
```

 \vee (state = Unlocked \wedge nPackets \neq nPacketsOld)

References

- T. Ball, and S. K. Rajamani. Automatically Validating Temporal Safety Properties of Interfaces, *SPIN*, LNCS2057, 2001.
- T. Ball, E. Bounimova, B. Cook, V. Levin, J. Lichtenberg, C. McGarvey, B. Ondrusek, S. K. Rajamani, and A. Ustuner. Thorough static analysis of device drivers, *EuroSys*, 2006.
- T. Ball, E. Bounimova, R. Kumar, V. Levin.
 SLAM2: Static Driver Verification with Under 4%
 False Alarms, *FMCAD*, 2010.

SLAM

- SLAM2
- The Static Driver Verifier Research Platform
- http://research.microsoft.com/enus/projects/slam/
- Related project
 - http://mtc.epfl.ch/software-tools/blast/indexepfl.php
 - Lazy abstraction